

Vertex Cover Problems

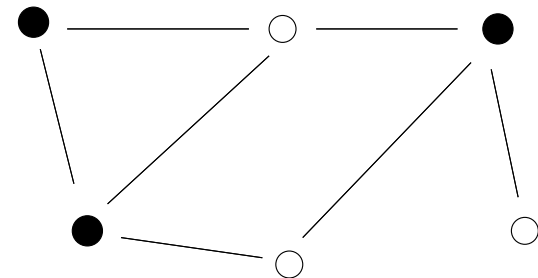
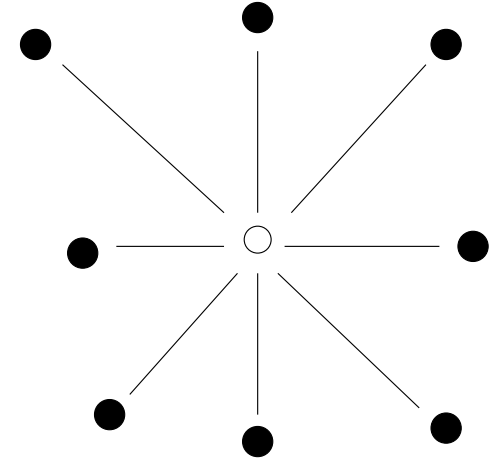
Consider a graph $G = (V, E)$

$S \subseteq V$ is a **vertex cover** if

$$\forall \{u, v\} \in E : u \in S \vee v \in S$$

minimum vertex cover (MIN-VCP):

find a vertex cover S that minimizes $|S|$.



Motivation

- This problem has many applications
- Example: placing ATMs in a city
- Each additional ATM costs money
- Want to have an ATM in every street (block, district)
- Where should they be placed so that we need as little ATMs as possible?

Greedy Algorithm

Function greedyVC(V, E)

$C := \emptyset$

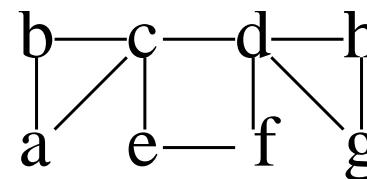
while $E \neq \emptyset$ **do**

select any $\{u, v\} \in E$

$C := C \cup \{u, v\}$

 remove all edges incident to u or v from E

return C



Exercise: explain how to implement the algorithm

to run in time $\mathcal{O}(|V| + |E|)$

Greedy Algorithm

Function greedyVC(V, E)

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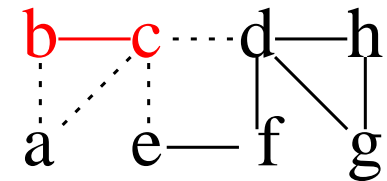
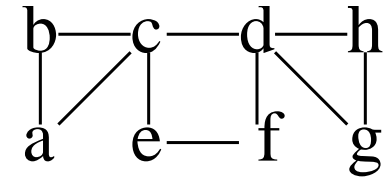
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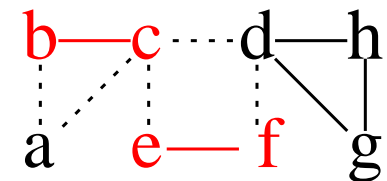
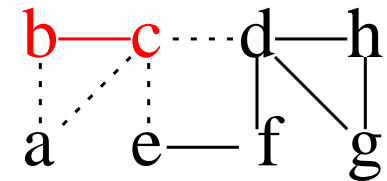
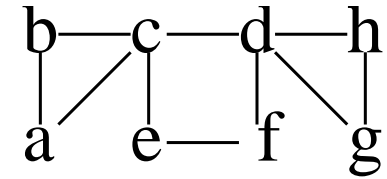
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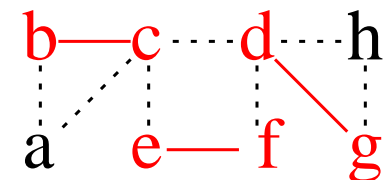
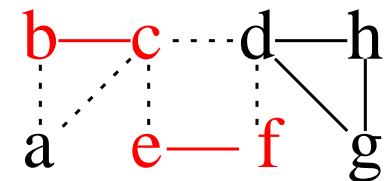
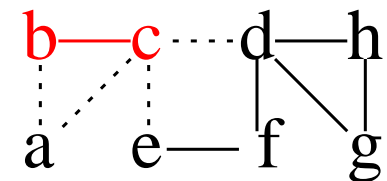
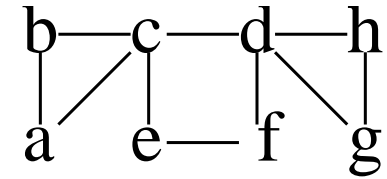
$C := C \cup \{u, v\}$

 remove all edges incident to u or v from E

return C

Exercise: explain how to implement the algorithm

to run in time $\mathcal{O}(|V| + |E|)$



Theorem 1. *Algorithm greedyVC computes a two-approximation of MIN-VCP.*

Proof. Correctness: trivial since only covered edges are removed.

Quality: Let A denote the set of edges selected by greedyVC.

We have $|C| = 2|A|$.

A is a **matching**, i.e., no node covers two edges in A .

Hence, any vertex cover contains at least one node from each edge in A , i.e., $\text{opt} \geq |A|$. □

Weighted Vertex Cover

Consider a graph $G = (V, E)$

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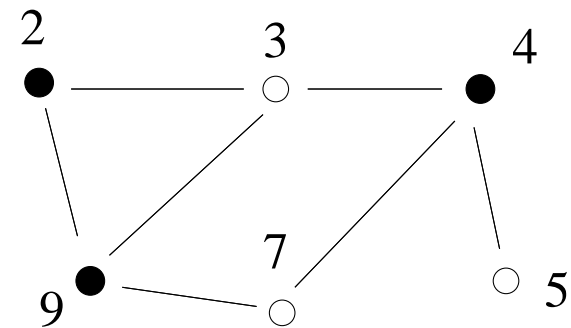
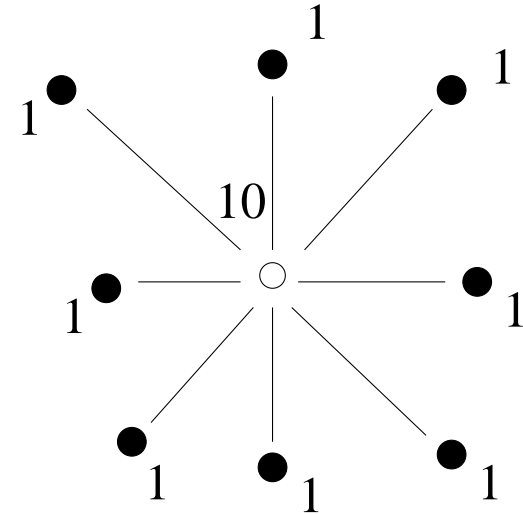
$$\forall \{u, v\} \in E : u \in S \vee v \in S$$

minimum WEIGHT vertex cover

(WEIGHT-VCP):

find a vertex cover S that minimizes

$$\sum_{v \in S} c(v)$$



0-1 ILP Formulation

Assume $V = \{1, \dots, n\}$

Variables: $x_v = 1$ iff $v \in V$

minimize $\mathbf{c} \cdot \mathbf{x}$

subject to

$$\forall \{u, v\} \in E : x_u + x_v \geq 1$$

$$\forall v \in V : x_v \in \{0, 1\}$$

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Linear Relaxation

Assume $V = \{1, \dots, n\}$

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Linear Relaxation

Assume $V = \{1, \dots, n\}$

Variables: $x_v = 1$ iff $v \in V$

minimize $\mathbf{c} \cdot \mathbf{x}$

subject to

$\forall \{u, v\} \in E : x_u + x_v \geq 1$

$\forall v \in V : x_v \geq 0$

LP Rounding Algorithm for WEIGHT-VCP

Function lpWeightedVC(V, E, \mathbf{c})

$\mathbf{x} := \text{lpSolve}(\text{linearRelaxation}(V, E, \mathbf{c}))$

return $\{v \in V : x_v \geq 1/2\}$

Theorem 2. *Algorithm $lpWeightedVC$ computes a two-approximation of WEIGHT-VCP.*

Correctness:

Consider any edge $\{u, v\} \in E$.

We have $x_u + x_v \geq 1$,

hence, $\max\{x_u, x_v\} \geq 1/2$,

i.e., rounding will put at least one of $\{u, v\}$ into the output.

Theorem 2. *Algorithm $lpWeightedVC$ computes a two-approximation of $WEIGHT-VCP$.*

Quality: Let

\mathbf{x} := the solution computed by $lpWeightedVC$

\mathbf{x}^* := the optimal solution, and

$\bar{\mathbf{x}}$:= the optimal solution of the linear relaxation

$$\mathbf{c} \cdot \mathbf{x} = \sum_{\bar{x}_i \geq 1/2} c_i$$

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$$\mathbf{c} \cdot \mathbf{x} = \sum_{\bar{x}_i \geq 1/2} c_i \leq \sum_{\bar{x}_i \geq 1/2} 2\bar{x}_i c_i$$

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Iterated Rounding

[Vazirani Section 23.2]

Function iteratedLpWeightedVC(V, E, \mathbf{c})

$M := \emptyset$

while $|E| > 0$ **do**

$\mathbf{x} := \text{lpSolve}(\text{linearRelaxation}(V, E, \mathbf{c}))$

let v denote the node which **maximizes** x_v

$M := M \cup \{v\}$

$V := V \setminus \{v\}$

$E := E \setminus \{\{u, v\} \in E\}$

return M

Iterated Rounding: Discussion

- Might give better solutions for many inputs
- No better approximation **guarantees** for VC
- Larger (still polynomial) execution time
- But: Resolving an LP is often quite fast
- Important technique for other problems

A Randomized Algorithm

[Ausiello et al. Section 5.1]

Function randWeightedVC(V, E, \mathbf{c})

$C := \emptyset$

while $E \neq \emptyset$ **do**

 select any $\{v, t\} \in E$

 flip a coin with sides $\{v, t\}$ and

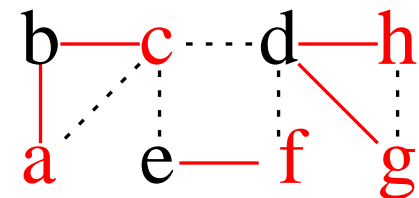
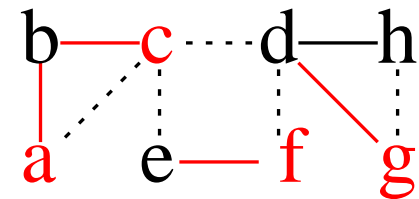
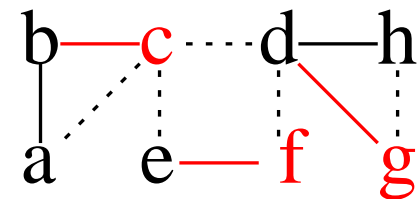
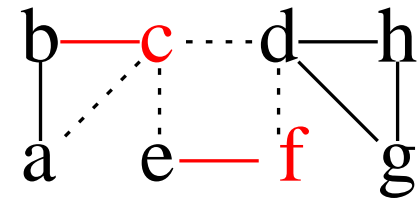
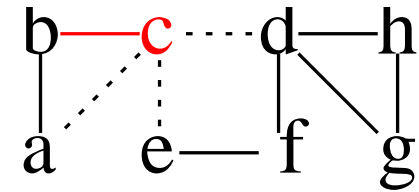
$$\mathbb{P}[v] = \frac{c_t}{c_v + c_t}$$

$x :=$ upper side of coin

$C := C \cup \{x\}$

 remove all edges incident to x from E

return C



Theorem 3. *Algorithm `randWeightedVC` computes a vertex cover \mathbf{x} with $\mathbb{E}[\mathbf{c} \cdot \mathbf{x}] \leq 2\mathbf{c} \cdot \mathbf{x}^*$.*

Correctness: as for `greedyVC`.

Theorem: Algorithm randWeightedVC computes a vertex cover \mathbf{x} with $\mathbb{E}[\mathbf{c} \cdot \mathbf{x}] \leq 2\mathbf{c} \cdot \mathbf{x}^*$.

Quality: Define the random variables

$$X_v := \begin{cases} c_v & \text{if } v \in \mathbf{x} \\ 0 & \text{otherwise} \end{cases} \quad (1)$$

$$X_{\{v,t\},v} := \begin{cases} c_v & \text{if } \{v,t\} \text{ is selected and } v \in \mathbf{x} \\ 0 & \text{otherwise} \end{cases} \quad (2)$$

Note that $X_v = \sum_{\{t:\{v,t\} \in E\}} X_{\{v,t\},v}$

Lemma 4. $\mathbb{E}[X_{\{v,t\},v}] = \mathbb{E}[X_{\{v,t\},t}]$

Proof.

$$\mathbb{E}[X_{\{v,t\},v}] = c_v \mathbb{P}[\{v,t\} \text{ is selected}] \mathbb{P}[v \in \mathbf{x}]$$

□

Lemma 4. $\mathbb{E}[X_{\{v,t\},v}] = \mathbb{E}[X_{\{v,t\},t}]$

Proof.

$$\mathbb{E}[X_{\{v,t\},v}] = c_v \mathbb{P}[\{v,t\} \text{ is selected}] \mathbb{P}[v \in \mathbf{x}]$$

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$$\mathbb{E}[X_{\{v,t\},v}] = c_v \mathbb{P}[\{v,t\} \text{ is selected}] \frac{c_t}{c_v + c_t}$$

$$= c_t \mathbb{P}[\{v,t\} \text{ is selected}] \frac{c_v}{c_v + c_t}$$

$$= \mathbb{E}[X_{\{v,t\},t}]$$

□

Lemma 5. $\sum_{v \notin \mathbf{x}^*} \mathbb{E}[X_v] \leq \sum_{t \in \mathbf{x}^*} \mathbb{E}[X_t]$

Proof.

$$\sum_{v \notin \mathbf{x}^*} \mathbb{E}[X_v] = \sum_{v \notin \mathbf{x}^*} \mathbb{E} \left[\sum_{\{t: \{v,t\} \in E\}} X_{\{v,t\},v} \right] \quad (X_v = \sum_{\{t: \{v,t\} \in E\}} X_{\{v,t\},v})$$

Lemma 5.
$$\sum_{v \notin \mathbf{x}^*} \mathbb{E}[X_v] \leq \sum_{t \in \mathbf{x}^*} \mathbb{E}[X_t]$$

Proof.

$$\begin{aligned} \sum_{v \notin \mathbf{x}^*} \mathbb{E}[X_v] &= \sum_{v \notin \mathbf{x}^*} \mathbb{E} \left[\sum_{\{t: \{v,t\} \in E\}} X_{\{v,t\},v} \right] && (X_v = \sum_{\{t: \{v,t\} \in E\}} X_{\{v,t\},v}) \\ &= \sum_{v \notin \mathbf{x}^*} \sum_{\{t: \{v,t\} \in E\}} \mathbb{E}[X_{\{v,t\},v}] && \text{Linearity of } \mathbb{E}[\cdot] \end{aligned}$$

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Proof.

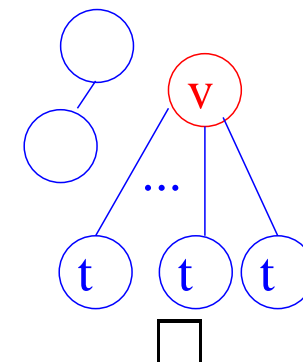
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 &= \sum_{v \notin \mathbf{x}^*} \sum_{\{t: \{v,t\} \in E\}} \mathbb{E}[X_{\{v,t\},v}] && \text{Linearity of } \mathbb{E}[\cdot] \\
 &= \sum_{v \notin \mathbf{x}^*} \sum_{\{t: \{v,t\} \in E\}} \mathbb{E}[X_{\{v,t\},t}] (*) && \text{Lemma 4}
 \end{aligned}$$

Lemma 5. $\sum_{v \notin \mathbf{x}^*} \mathbb{E}[X_v] \leq \sum_{t \in \mathbf{x}^*} \mathbb{E}[X_t]$

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But also $\sum_{t \in \mathbf{x}^*} \mathbb{E}[X_t] = \sum_{t \in \mathbf{x}^*} \sum_{\{v: \{v,t\} \in E\}} \mathbb{E}[X_{\{v,t\},t}] (**).$



Every term in (*) shows up in (**).

Theorem: Algorithm randWeightedVC computes a vertex cover \mathbf{x} with $\mathbb{E}[\mathbf{c} \cdot \mathbf{x}] \leq 2\mathbf{c} \cdot \mathbf{x}^*$.

Quality: (Finishing Up)

$$\sum_{v \in V} \mathbb{E}[X_v] = \sum_{v \notin \mathbf{x}^*} \mathbb{E}[X_v] + \sum_{t \in \mathbf{x}^*} \mathbb{E}[X_t]$$

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Lemma 5

$$\leq 2 \sum_{t \in \mathbf{x}^*} \mathbb{E}[X_t]$$

$$\leq 2 \sum_{t \in \mathbf{x}^*} c_t \quad X_t = 0 \text{ or } X_t = c_t$$

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 \sum_{v \in V} \mathbb{E}[X_v] &= \sum_{v \notin \mathbf{x}^*} \mathbb{E}[X_v] + \sum_{t \in \mathbf{x}^*} \mathbb{E}[X_t] \\
 &\stackrel{\text{Lemma 5}}{\leq} 2 \sum_{t \in \mathbf{x}^*} \mathbb{E}[X_t] \\
 &\leq 2 \sum_{t \in \mathbf{x}^*} c_t \quad X_t = 0 \text{ or } X_t = c_t \\
 &= 2\mathbf{c} \cdot \mathbf{x}^*
 \end{aligned}$$



More on Vertex Cover

- There are simple deterministic linear time 2-approximations. (Special case of set covering)
- Best known algorithm: ratio $2 - \Theta(1/\sqrt{\log n})$
- **fixed parameter algorithms**: [Niedermeyer Rossmannith] find optimal solution in time $\mathcal{O}(kn + k^2 1.292^k)$ if $|\mathbf{x}| \leq k$. Key idea: (clever) exhaustive search + problem reductions.
Example: include nodes of degree $\geq k$.
include neighbors of degree 1 nodes

Scheduling on Unrelated Parallel Machines

[Vazirani Chapter 17]

J : set of n jobs

M : set of m machines

p_{ij} : processing time of job j on machine i

$\mathbf{x}(j)$: Machine where job j is executed

L_i : $\sum_{\{j:\mathbf{x}(j)=i\}} p_{ij}$, load of machine i

Objective: Minimize Makespan $L_{\max} = \max_i L_i$

A Misguided ILP model

minimize t subject to

$$\forall j \in J : \sum_{i \in M} x_{ij} = 1$$

$$\forall i \in M : \sum_{j \in J} x_{ij} p_{ij} \leq t$$

$$\forall i \in M, j \in J : x_{ij} \in \{0, 1\}$$

The problem with this formulation

minimize t subject to

$$\forall j \in J : \sum_{i \in M} x_{ij} = 1$$

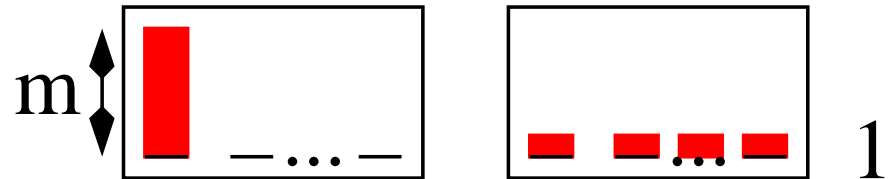
$$\forall i \in M : \sum_{j \in J} x_{ij} p_{ij} \leq t$$

$$\forall i \in M, j \in J : x_{ij} \in \{0, 1\}$$

One Job, size m everywhere.

Linear **relaxation**: makespan 1

Optimal **solution**: makespan m



The linear relaxation is far away from the optimal solution
and hence yields little useful information

LP-speak: **integrality gap** m

The problem with this formulation

minimize t subject to

$$\forall j \in J : \sum_{i \in M} x_{ij} = 1$$

$$\forall i \in M : \sum_{j \in J} x_{ij} p_{ij} \leq t$$

$$\forall i \in M, j \in J : x_{ij} \in \{0, 1\}$$

In ILP, we always have $x_{ij} = 0$ if $p_{ij} > t$

This is lost in the linear relaxation: some x_{ij} may get small values

We cannot add this constraint since it is not a linear constraint

A Refined LP Relaxation (**Parametric Pruning**)

guess makespan T

e.g., binary search

feasible assignments: $S_T := \{ (i, j) : p_{ij} \leq T \}$

A Refined LP Relaxation (**Parametric Pruning**)

guess makespan T e.g., binary search

feasible assignments: $S_T := \{ (i, j) : p_{ij} \leq T \}$

LP(T):

$$\forall j \in J : \sum_{\{i:(i,j) \in S_T\}} x_{ij} = 1$$

$$\forall i \in M : \sum_{\{j:(i,j) \in S_T\}} x_{ij} p_{ij} \leq T$$

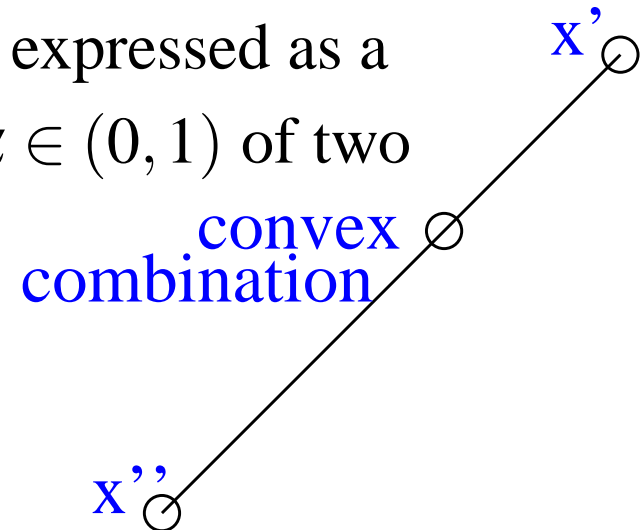
$$\forall (i, j) \in S_T : x_{ij} \geq 0$$

No objective function! We only look for a *feasible* solution

More LP-speak

Consider a solution \mathbf{x} of a given LP.

\mathbf{x} is an **extreme point solution** if it cannot be expressed as a **convex combination** $\alpha\mathbf{x}' + (1 - \alpha)\mathbf{x}''$ with $\alpha \in (0, 1)$ of two other feasible solutions \mathbf{x}' and \mathbf{x}'' .



Theorem 6. $\mathbf{x} \in \mathbb{R}^r$ is an extreme point solution iff it corresponds to setting r linearly independent constraints to equality.

Proof. not here.



$$S_T := \{ (i, j) : p_{ij} \leq T \}$$

LP(T):

$$\forall j \in J : \sum_{\{i:(i,j) \in S_T\}} x_{ij} = 1$$

$$\forall i \in M : \sum_{\{j:(i,j) \in S_T\}} x_{ij} p_{ij} \leq T$$

$$\forall (i, j) \in S_T : x_{ij} \geq 0$$

Lemma 7. *An extreme point solution of LP(T) has at most $n + m$ nonzero variables.*

Proof. $r = |S_T|$ variables

$n + m$ constraints (except ≥ 0)

$\overset{Thm6}{\rightsquigarrow} \geq r - (n + m)$ of the ≥ 0 constraints are tight.

□

Lemma 7. *An extreme point solution of $LP(T)$ has at most $n + m$ nonzero variables.*

Corollary 8. *An extreme point solution of $LP(T)$ sets $\geq n - m$ jobs integrally.*

Proof.

a integrally set jobs $\rightsquigarrow a$ nonzero entries in \mathbf{x}

$n - a$ fractionally set jobs $\rightsquigarrow \geq 2(n - a)$ nonzero entries in \mathbf{x}

Lemma 7 \rightsquigarrow

$$2(n - a) + a \leq n + m$$

$$\Leftrightarrow a \geq n - m$$



One Reason why LP Relaxation is Useful

Theorem 6. $\mathbf{x} \in \mathbb{R}^r$ is an extreme point solution iff it corresponds to setting r linearly independent constraints to equality.

Theorem 6 often implies that only few variables need to be rounded to obtain an solution of the ILP.

... this does not mean rounding the remaining ones is easy.

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 α is an upper bound for the optimal makespan

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For this T , find an extremal point solution \mathbf{x}

assign integrally set jobs in \mathbf{x}

deal with the fractionally set jobs

// Rounding

Example

p_{ij}	1	2	3	4	5
1	2	2	4	2	4
2	4	3	3	4	4
3	3	3	3	3	2
4	2	4	4	4	2

Four machines, five jobs

For each job, the best machine for it is marked in blue.

Example

p_{ij}	1	2	3	4	5	Each job on fastest machine:
1	2	2	4	2	4	6 =: α
2	4	3	3	4	4	3
3	3	3	3	3	2	5
4	2	4	4	4	2	2

Initial guess for the makespan is 6

Using binary search, we find smallest makespan in the range $[6/4, 6]$ that can be achieved using a fractional assignment

Example

p_{ij}	1	2	3	4	5	Each job on fastest machine:
1	2	2	4	2	4	6 =: α
2	4	3	3	4	4	3
3	3	3	3	3	2	5
4	2	4	4	4	2	2

Solution of LP(3):

x_{ij}	1	2	3	4	5
1	$\frac{1}{2}$	$\frac{1}{2}$	0	$\frac{1}{2}$	0
2	0	$\frac{1}{2}$	$\frac{1}{2}$	0	0
3	0	0	$\frac{1}{2}$	$\frac{1}{2}$	0
4	$\frac{1}{2}$	0	0	0	1

Dealing with Fractionally Set Jobs

Consider the bipartite graph

$H := (J' \cup M', E')$ where

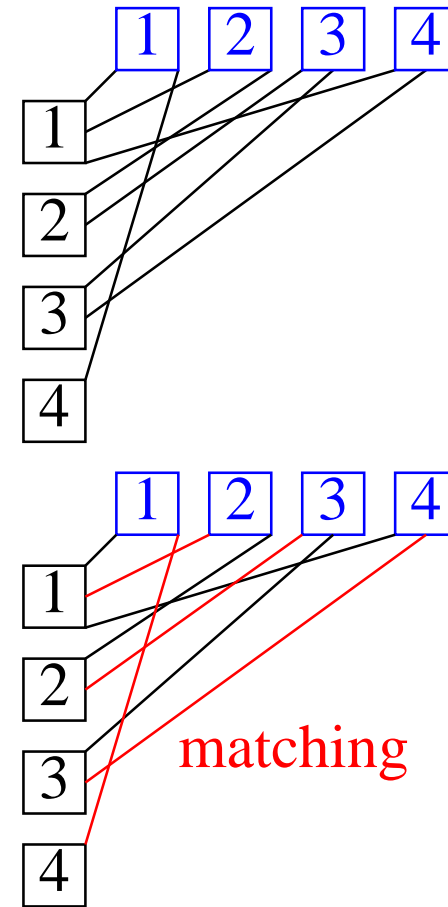
$J' := \{j \in J : \exists i : 0 < x_{ij} < 1\}$

$M' := \{i \in M : \exists j : 0 < x_{ij} < 1\}$

$E' := \{\{i, j\} : x_{ij} \neq 0, i \in M', j \in J'\}$

Idea: Find a perfect matching in H

assign jobs according to that matching



Matching

A set of edges M that do not have any nodes in common, i.e., (V, M) has maximum **degree one**.

Perfect Matching

A matching of size $|V|/2$, i.e., all nodes are **matched**

Lemma 8. H is a *pseudo forest*, i.e., each connected component $H_C = (V_C, E_C)$ has $|E_C| \leq |V_C|$ (a tree plus, possibly, one edge)

Proof. It suffices to show this for the larger graph

$G := (J \cup M, E)$ where

$E := \{ \{i, j\} : x_{ij} \neq 0, i \in M, j \in J \}$

Consider a connected component H_C of G .

restrict \mathbf{x} and $LP(T)$ to H_C : $\mathbf{x}_C, LP_C(T)$

\mathbf{x}_C is extreme point solution of $LP_C(T)$

(Otherwise, \mathbf{x} itself could not be extreme point solution)

Lemma 7 $\rightsquigarrow LP_C(T)$ has $\leq |V_C|$ nonzero vars.,

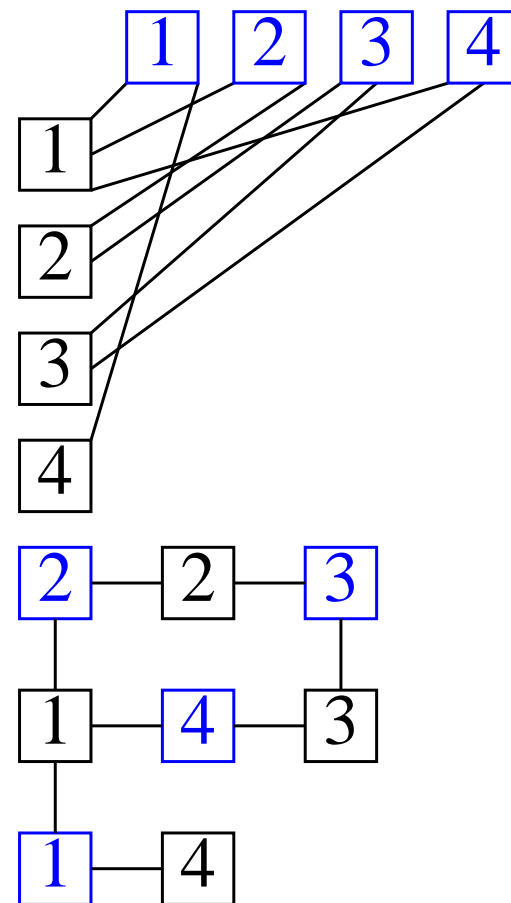
i.e., H_C has $\leq |V_C|$ edges. □

Example

p_{ij}	1	2	3	4	5
1	2	2	4	2	4
2	4	3	3	4	4
3	3	3	3	3	2
4	2	4	4	4	2

x_{ij}	1	2	3	4	5
1	$\frac{1}{2}$	$\frac{1}{2}$	0	$\frac{1}{2}$	0
2	0	$\frac{1}{2}$	$\frac{1}{2}$	0	0
3	0	0	$\frac{1}{2}$	$\frac{1}{2}$	0
4	$\frac{1}{2}$	0	0	0	1

$T^* = 3$



Lemma 9. *H has a perfect matching*

Proof. We give an algorithm:

$\mathcal{M} := \emptyset$

invariant *H* is a bipartite pseudo forest

invariant all degree one nodes are machines

while $\exists i \in M'$ with degree one **do**

$e = \{i, j\} :=$ the sole edge incident to i

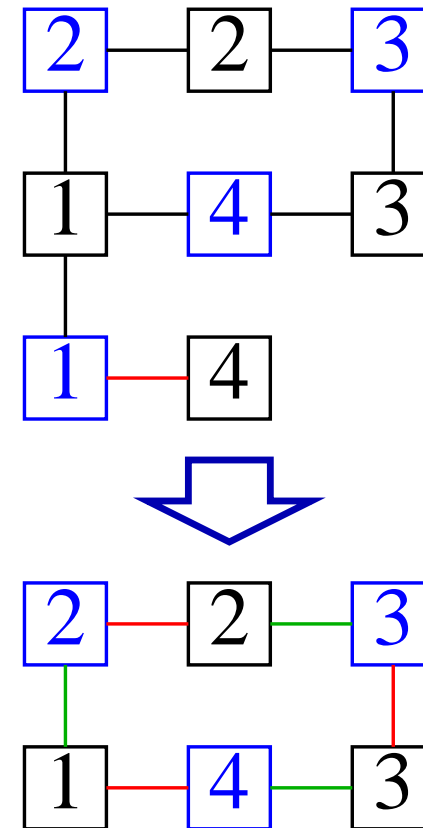
$\mathcal{M} := \mathcal{M} \cup \{e\}$

remove i, j and incident edges

assert *H* is a collection of disjoint even cycles

foreach cycle $C \in H$ **do**

match alternating edges in C



□

Theorem 10. *The algorithm achieves an approximation guarantee of **factor 2** for scheduling unrelated parallel machines.*

Proof. Consider solution \mathbf{x} of $\text{LPT}(T^*)$

makespan due to **jobs set integrally** in \mathbf{x} is $\leq T^* \leq \text{opt}$.

In addition, each machine i receives ≤ 1 **job** j from the **matching** $\mathcal{M} \subseteq H$.

$p_{i,j} \leq T^* \leq \text{opt}$ since otherwise $\{i, j\} \notin H$

□