

# Algorithms for Graph Visualization

## Flow Methods: Compaction and Upward Planarity

INSTITUT FÜR THEORETISCHE INFORMATIK · FAKULTÄT FÜR INFORMATIK

**Tamara Mchedlidze**  
23.01.2017



# (Planar) Orthogonal Layout

Three-step approach: *Topology – Shape – Metrics*

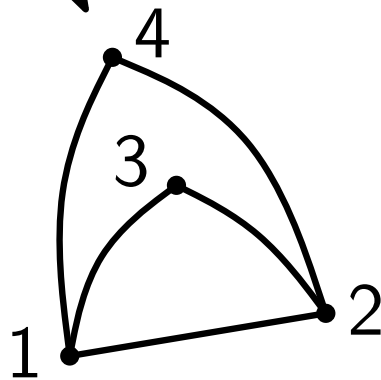
[Tamassia SIAM J. Comput. 1987]

$$V = \{v_1, v_2, v_3, v_4\}$$

$$E = \{v_1v_2, v_1v_3, v_1v_4, v_2v_3, v_2v_4\}$$

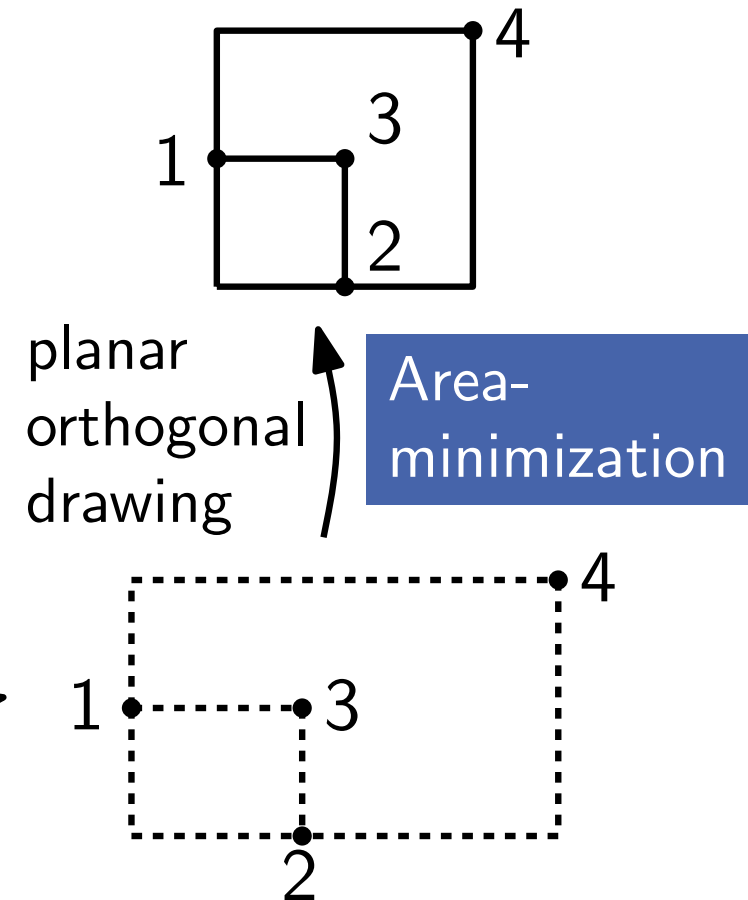
Reduce Crossings

combinatorial  
embedding/  
planarization



Bend Minimization

orthogonal  
representation



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Three-step approach: *Topology – Shape – Metrics*

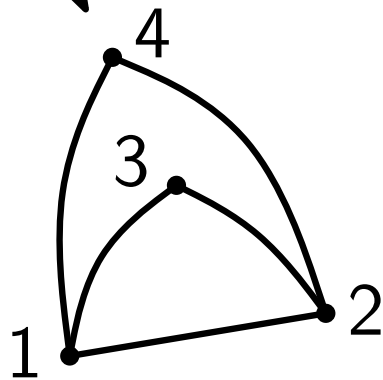
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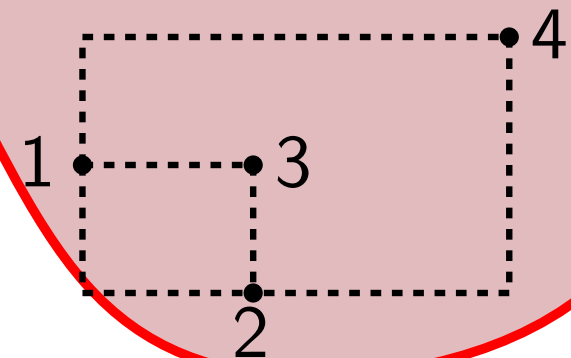


Bend Minimization

orthogonal  
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planar  
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drawing

Area-  
minimization



# Compaction

## Problem Compaction

Given: ■ planar graph  $G = (V, E)$  with maximum degree 4  
■ orthogonal representation  $H(G)$

Find: compact orthogonal layout of  $G$  that realizes  $H(G)$

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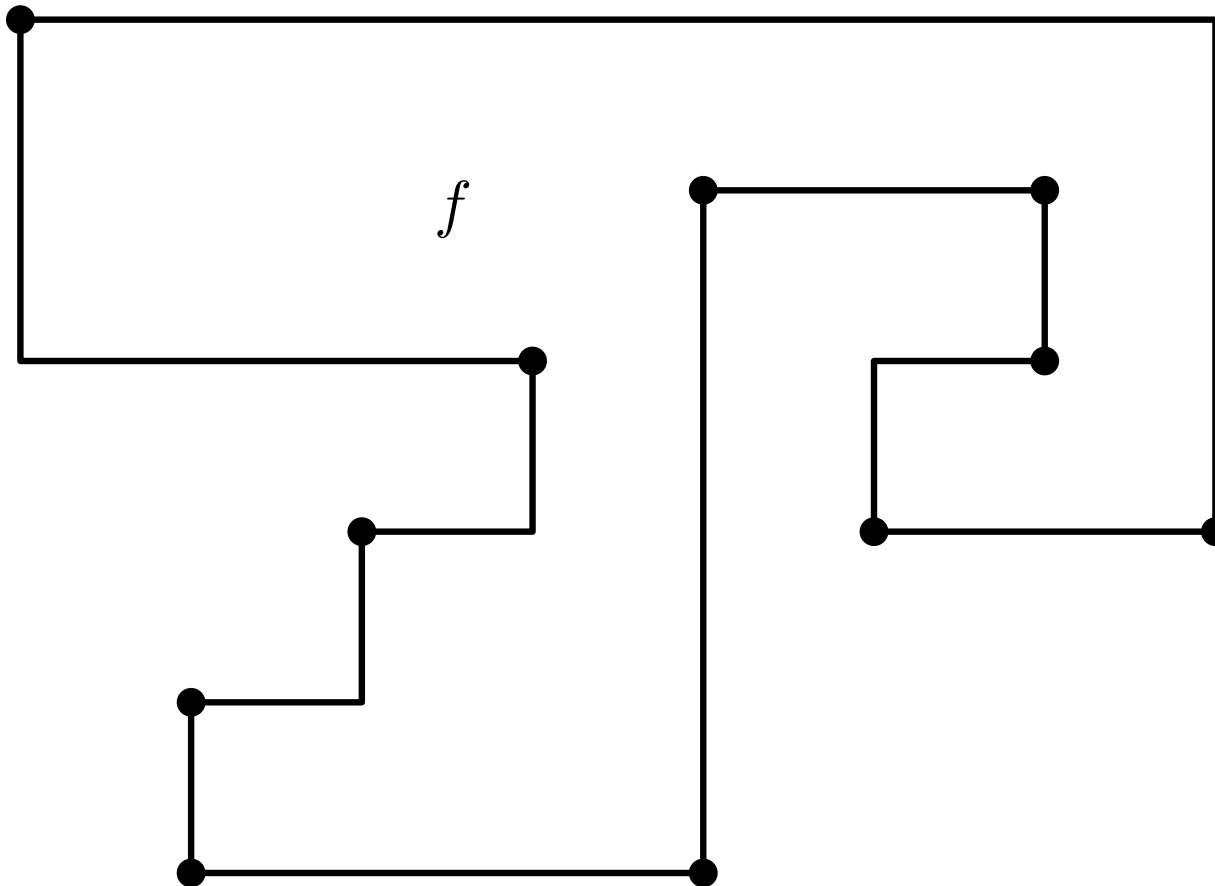
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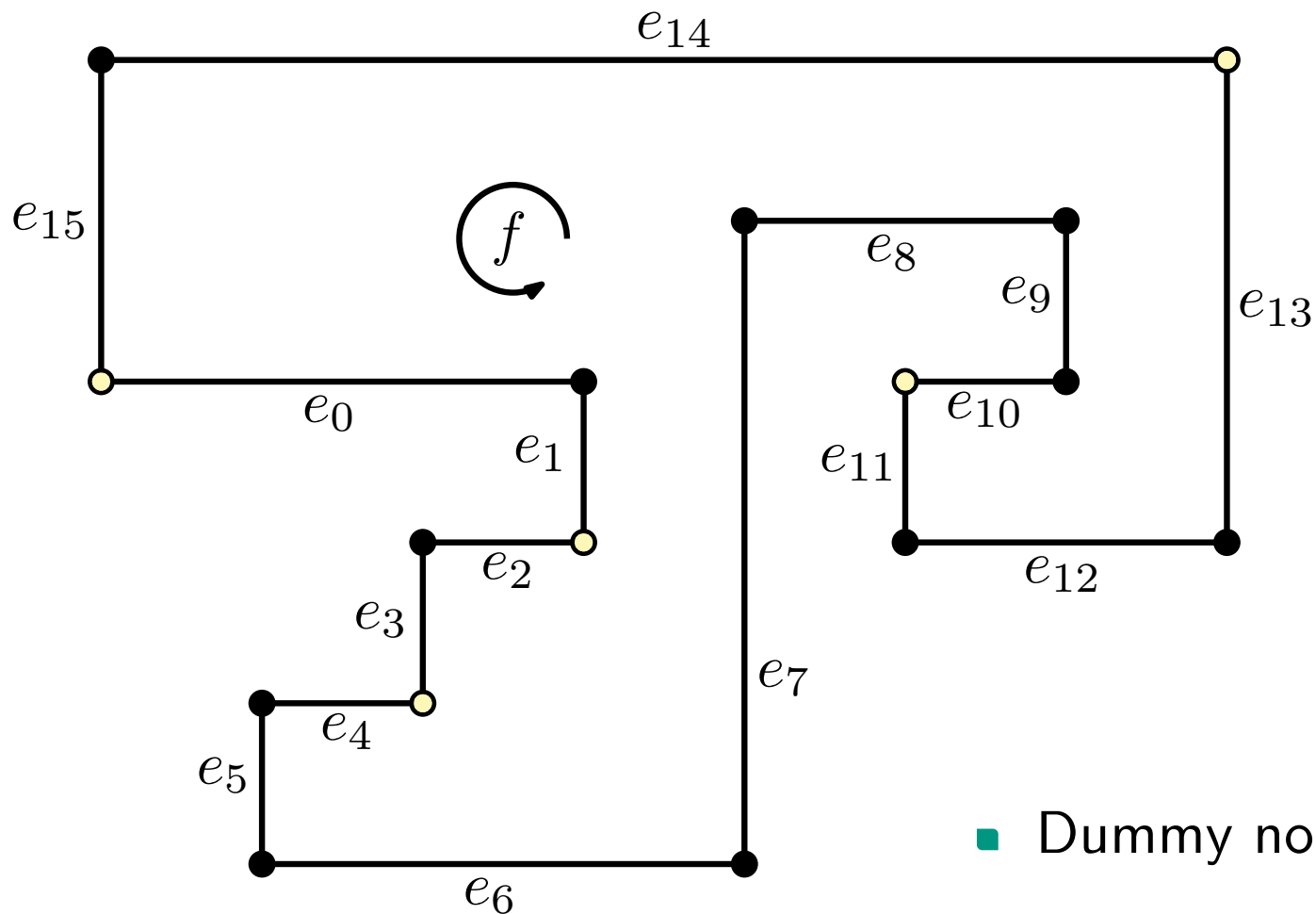
→ Guarantees possible ■ minimum total edge length  
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What if the faces are not rectangles?

# Refinement of $(G, H)$ – Inner Face



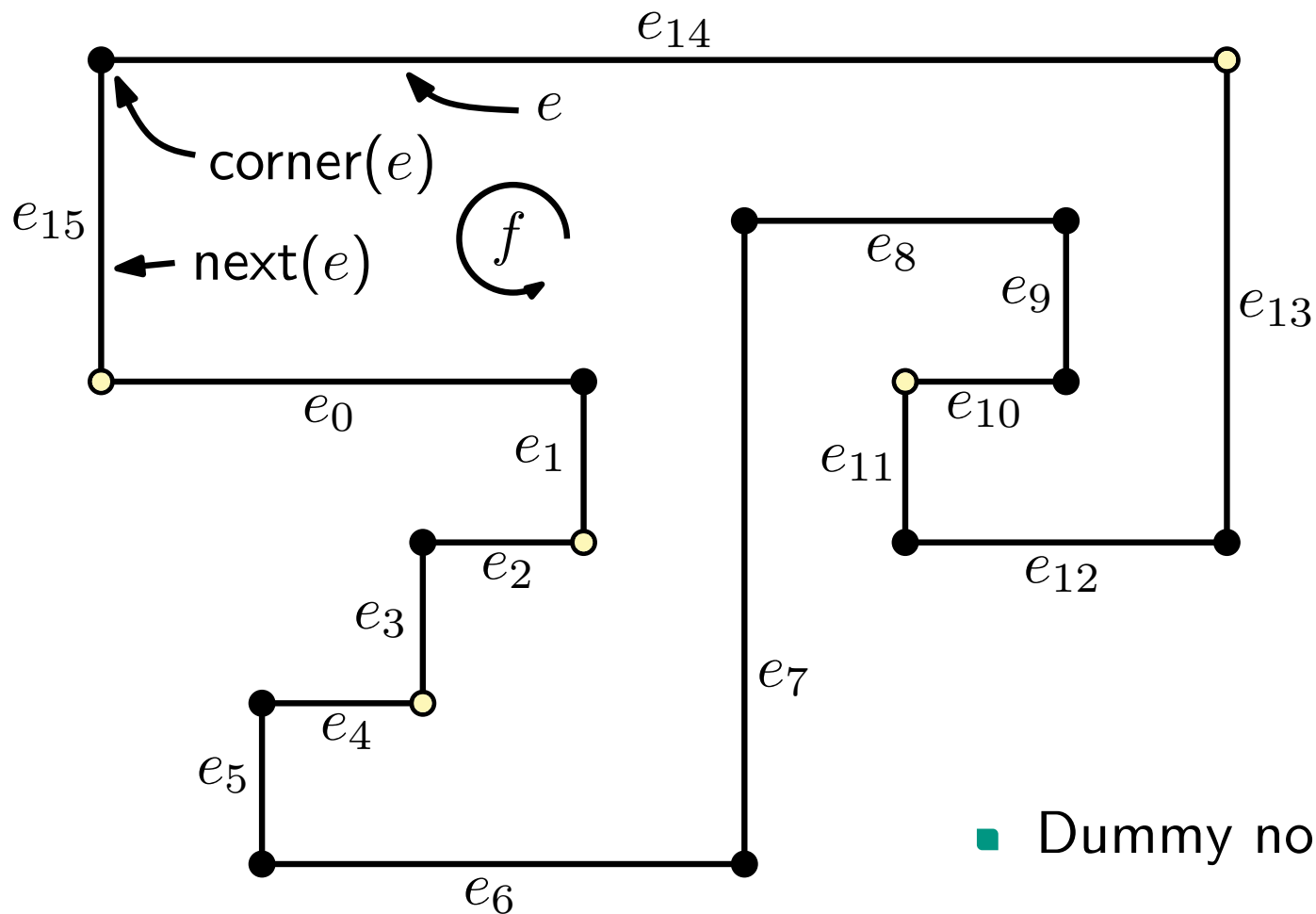
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- Dummy nodes for bends

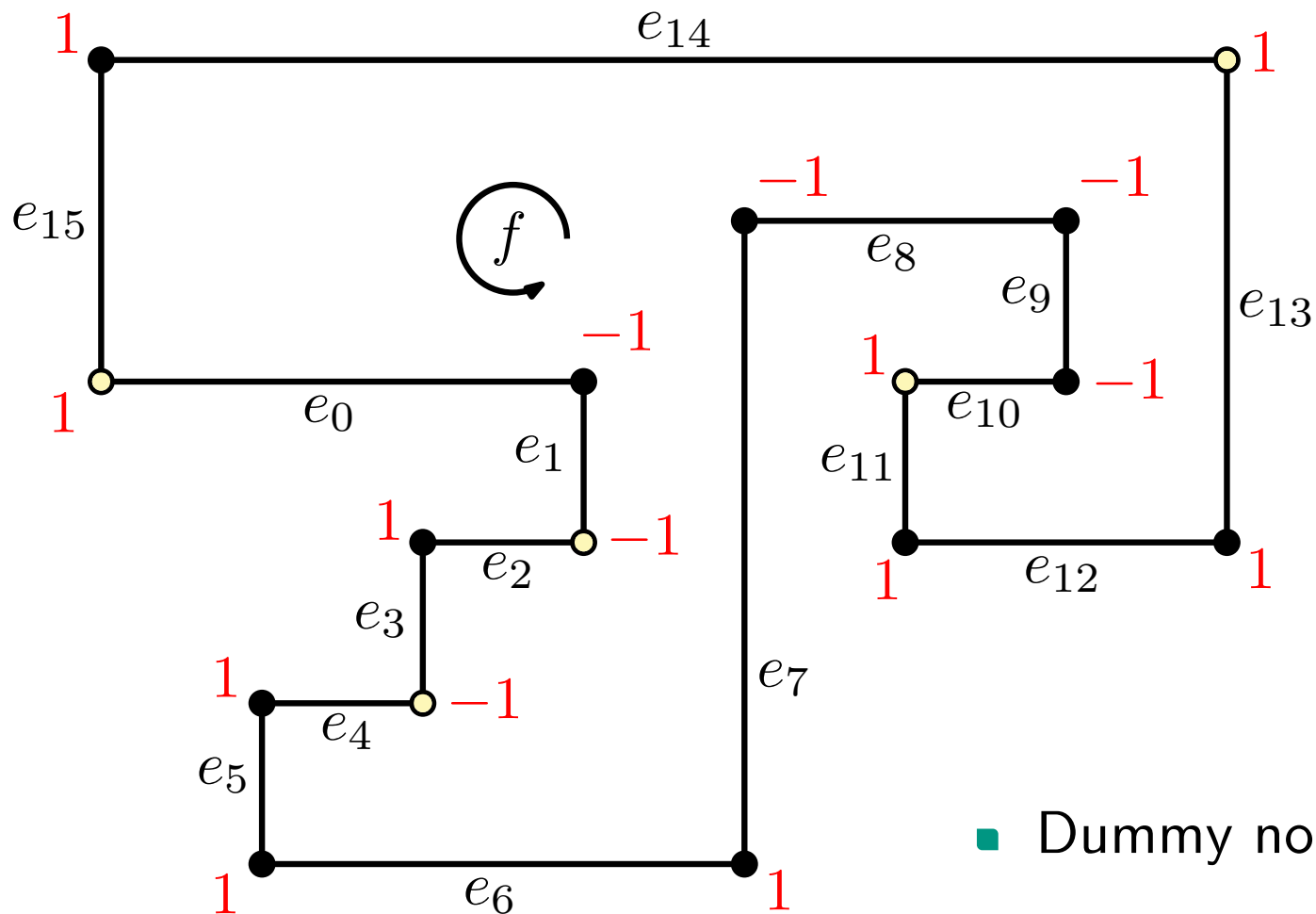


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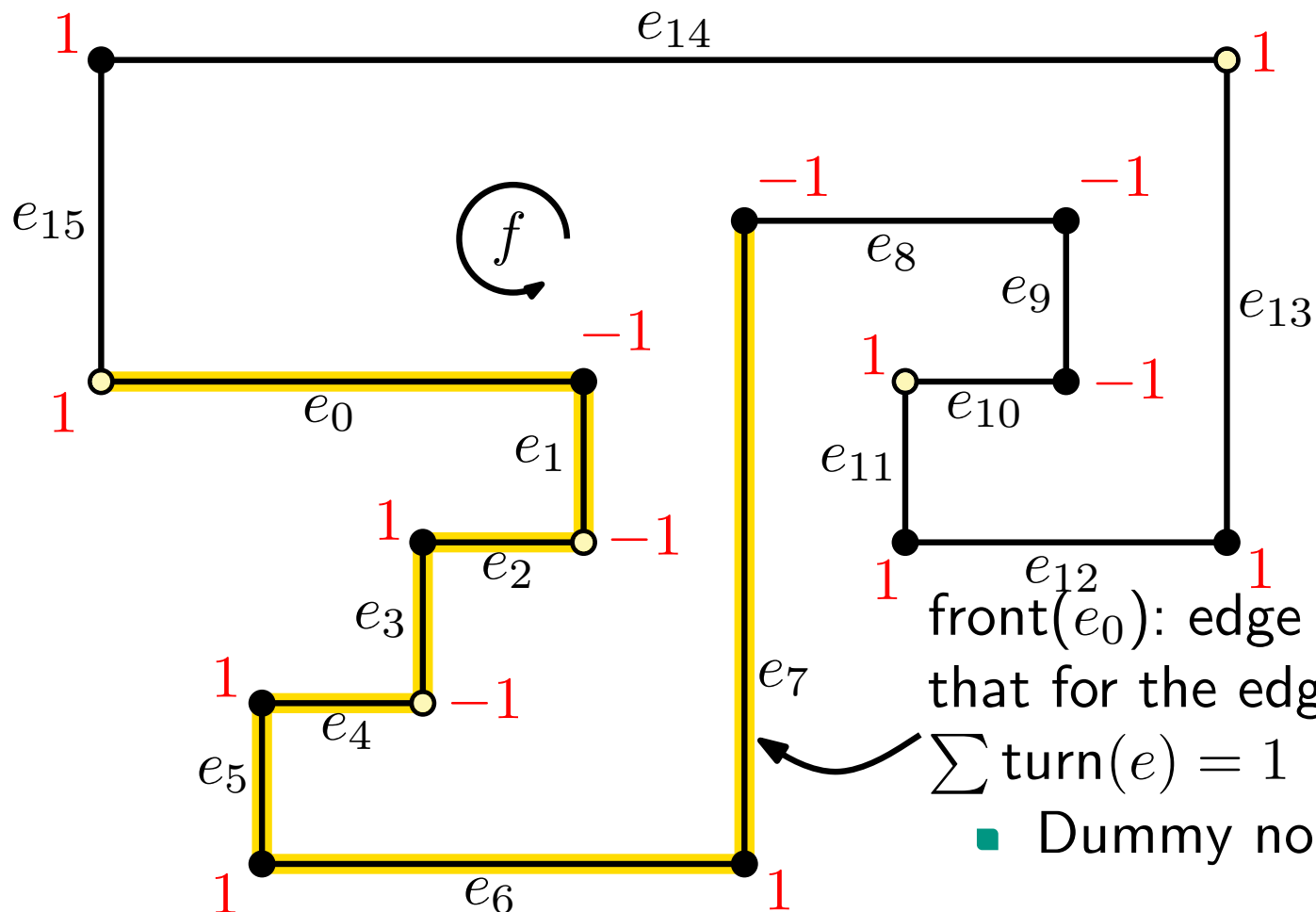
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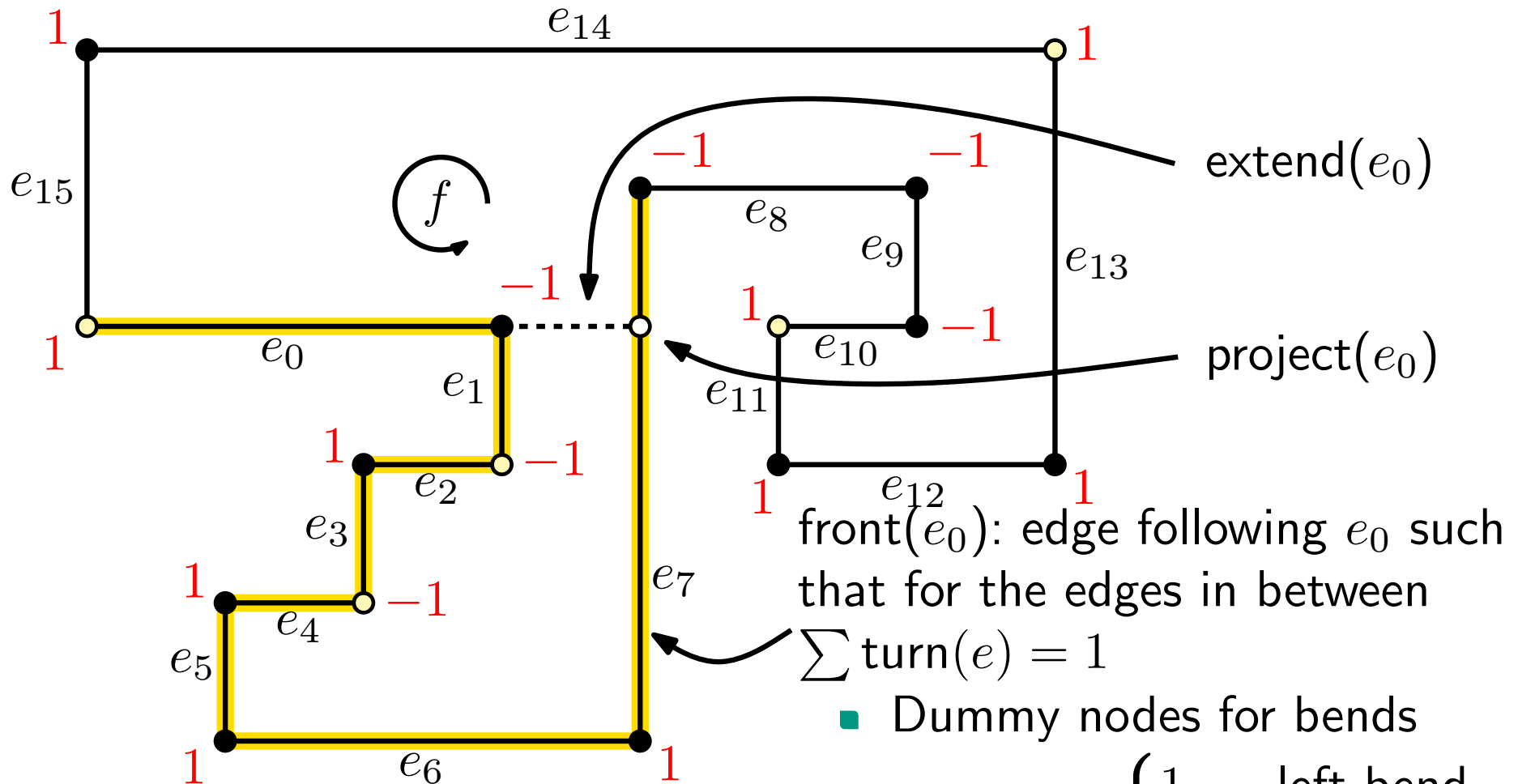


front( $e_0$ ): edge following  $e_0$  such that for the edges in between

$$\sum \text{turn}(e) = 1$$

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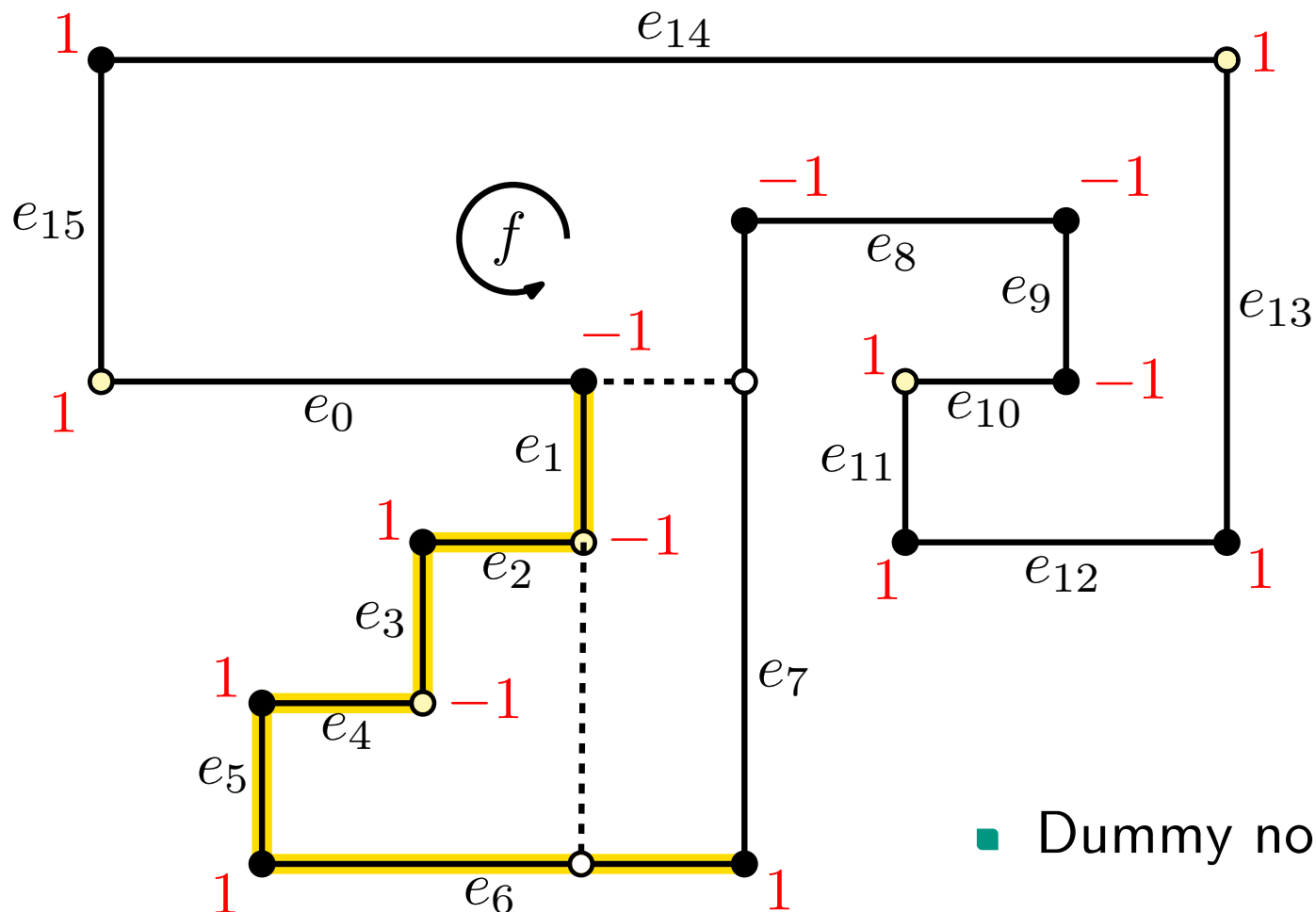
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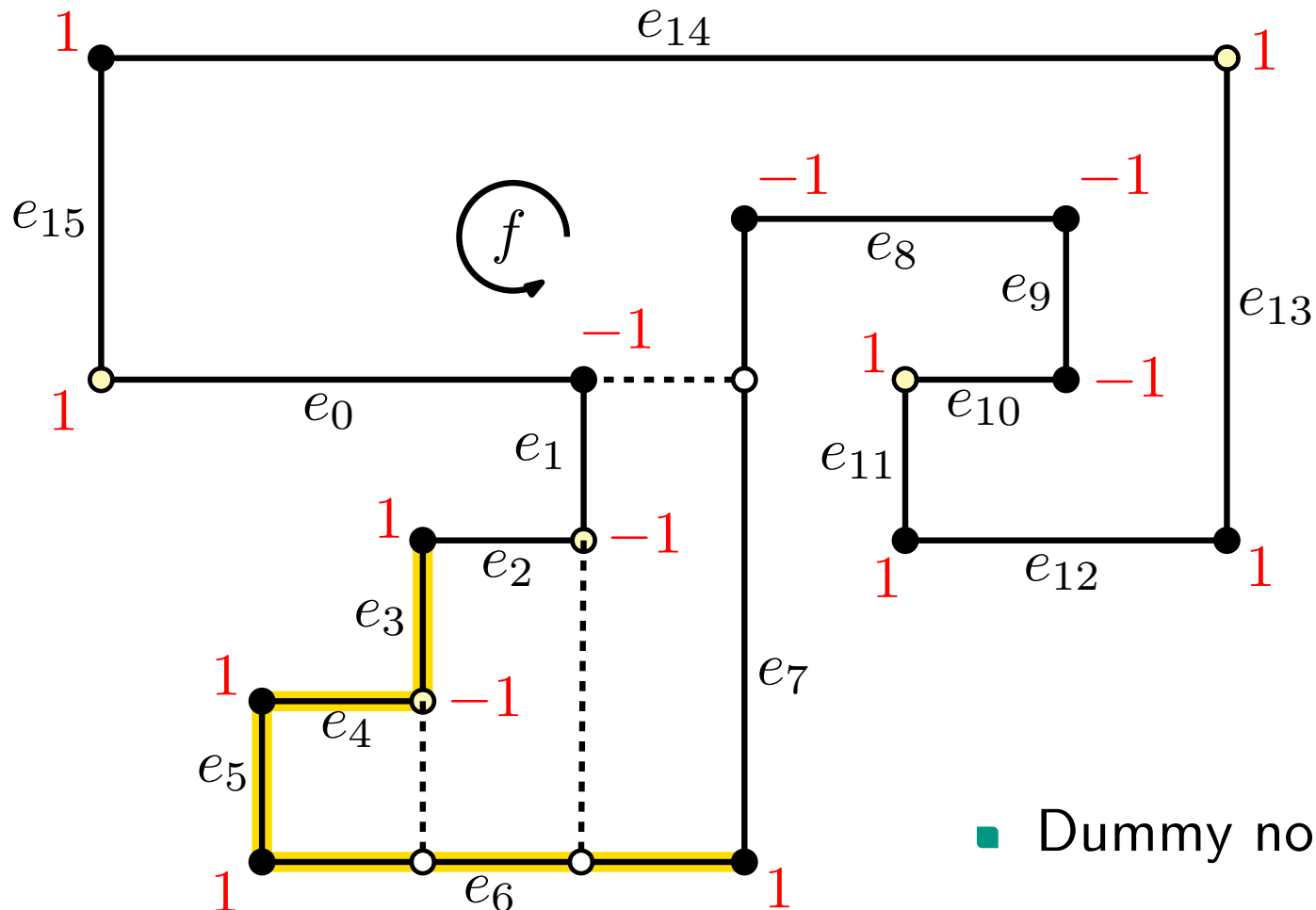
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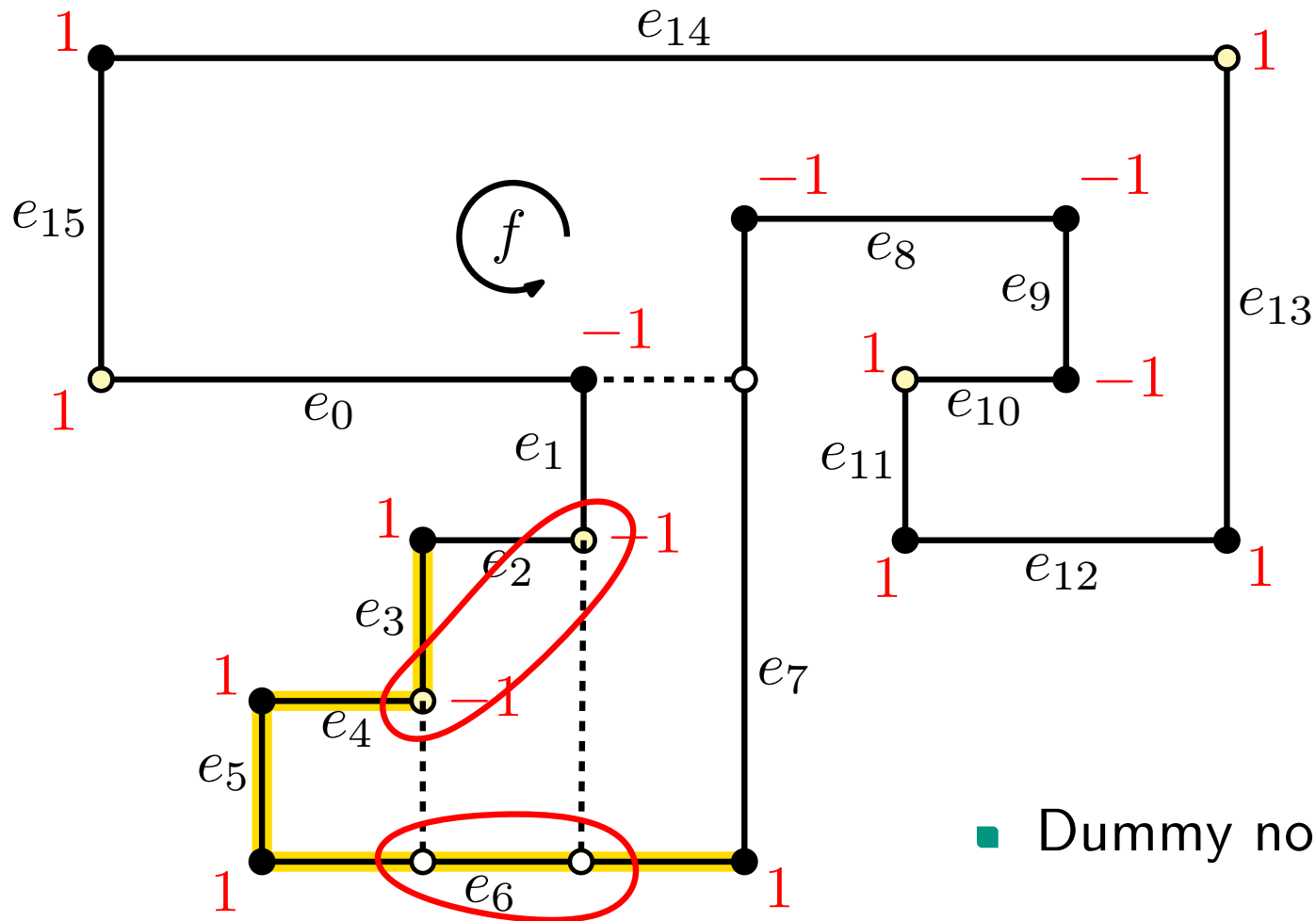
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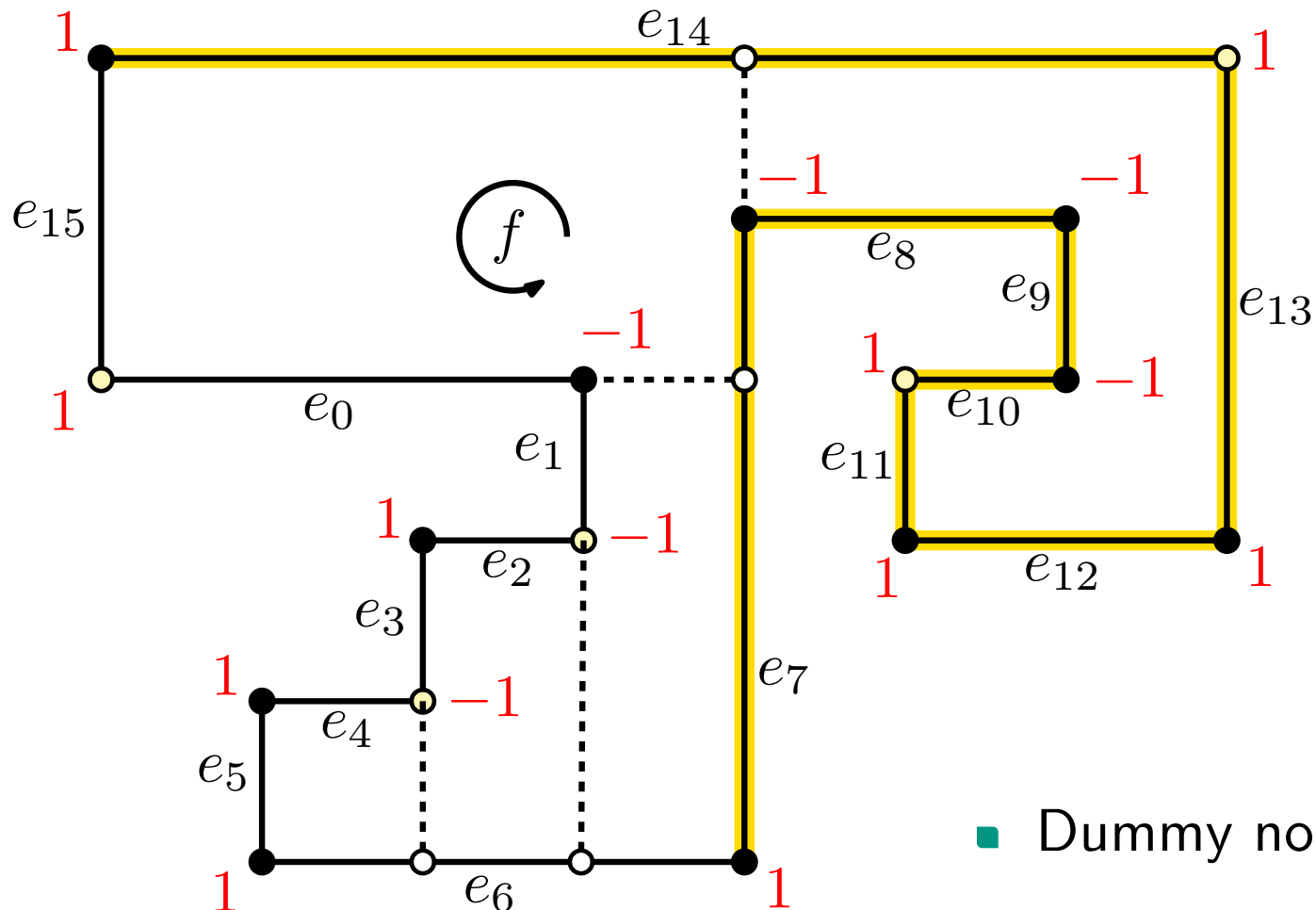
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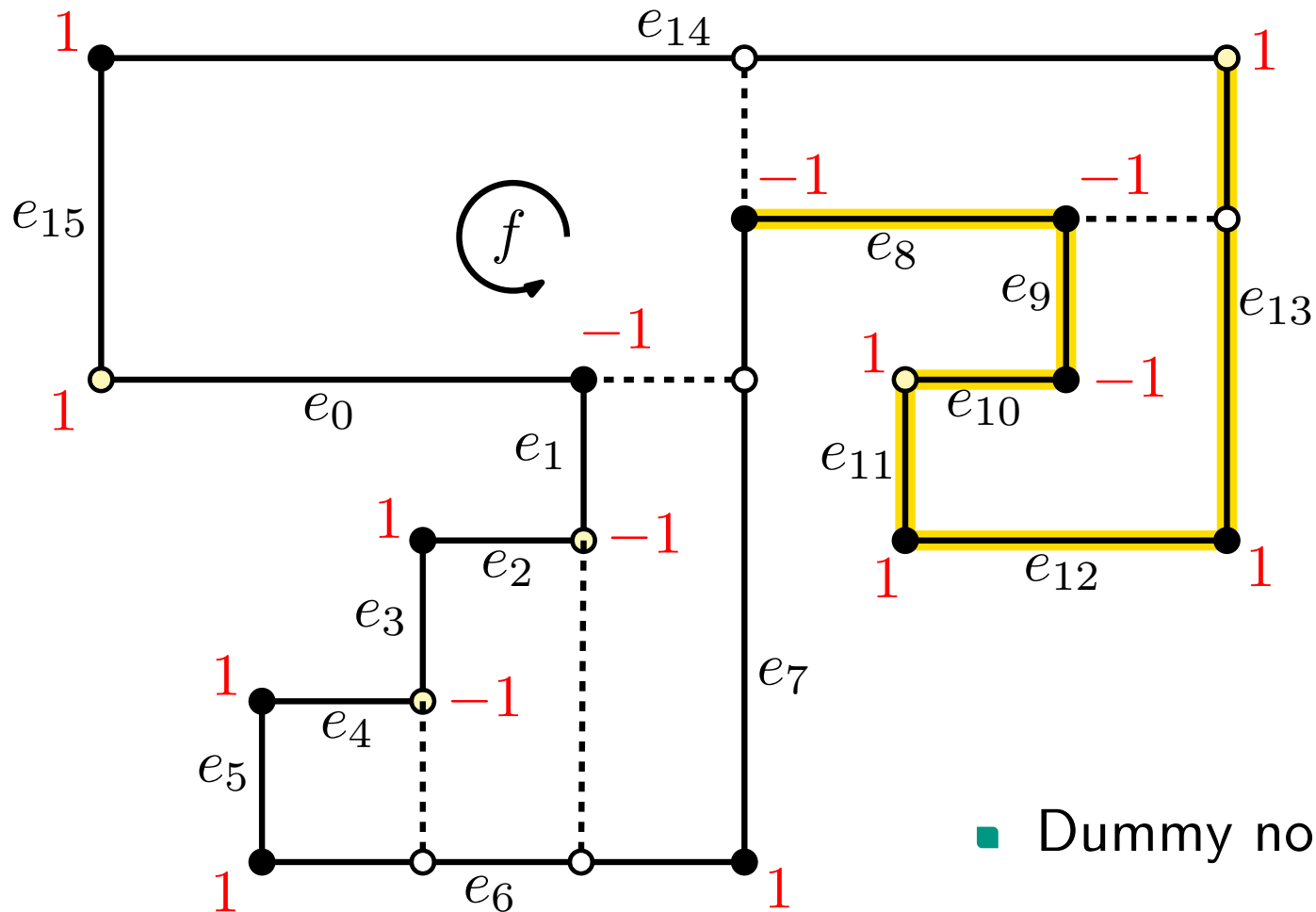
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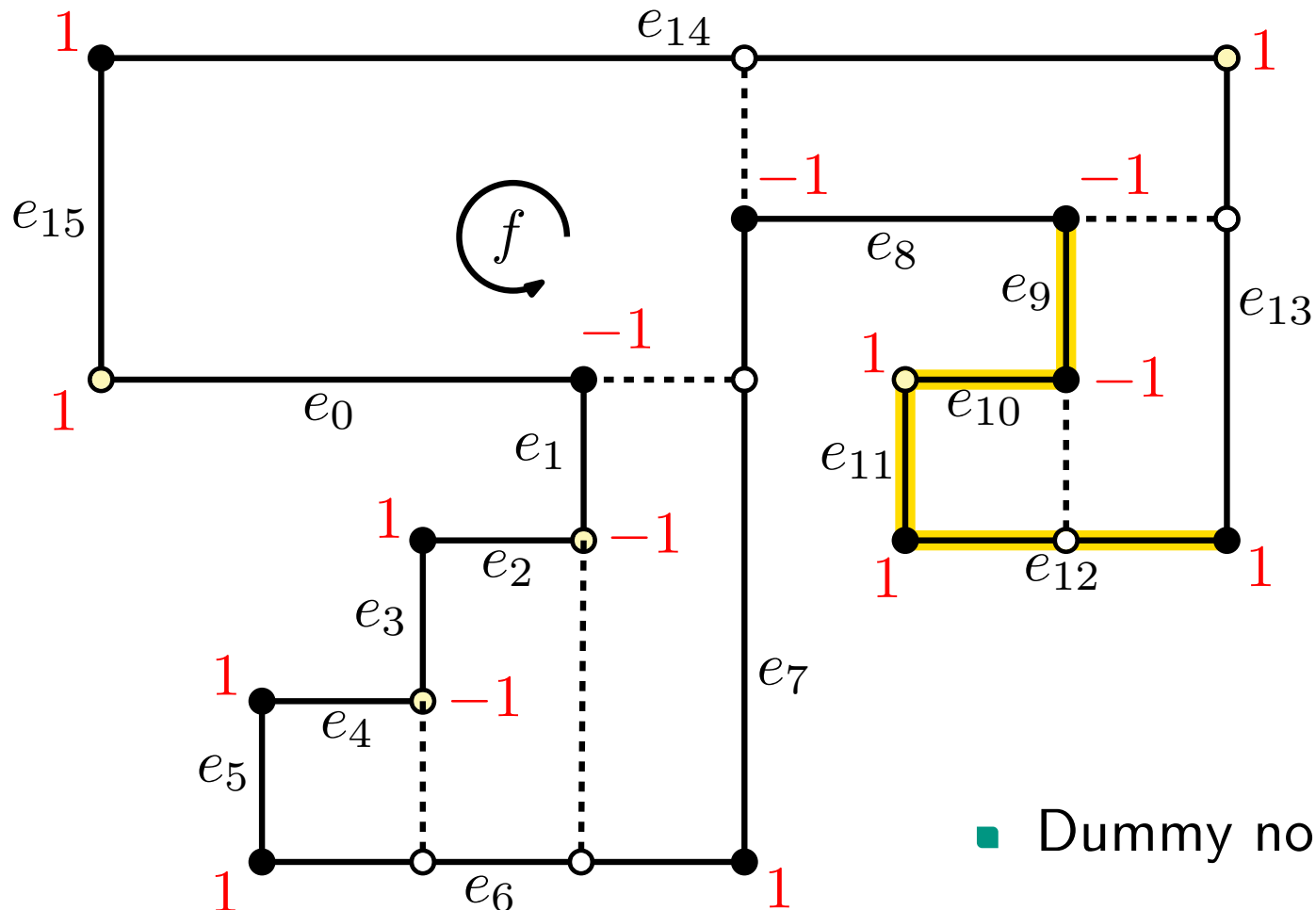


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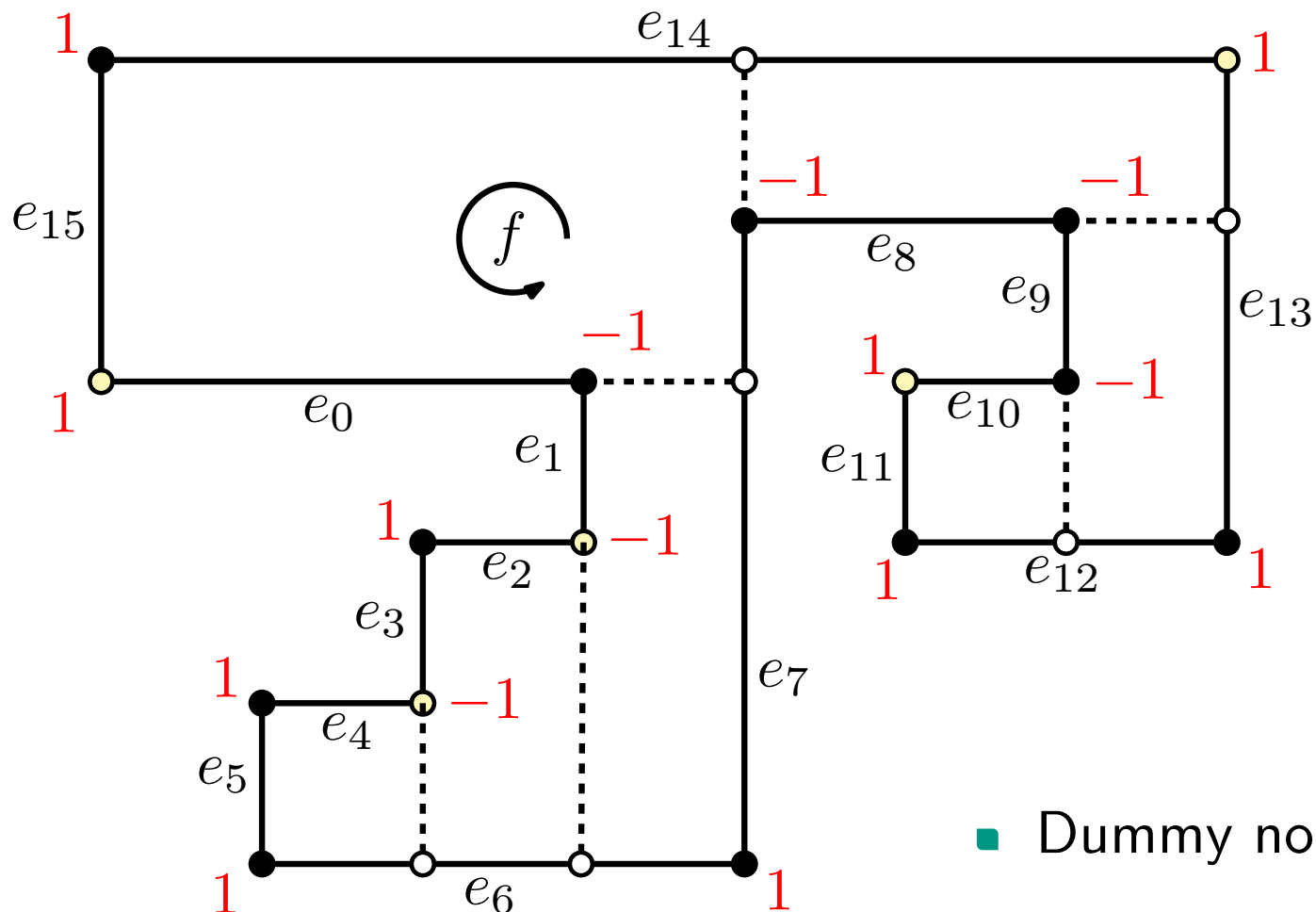
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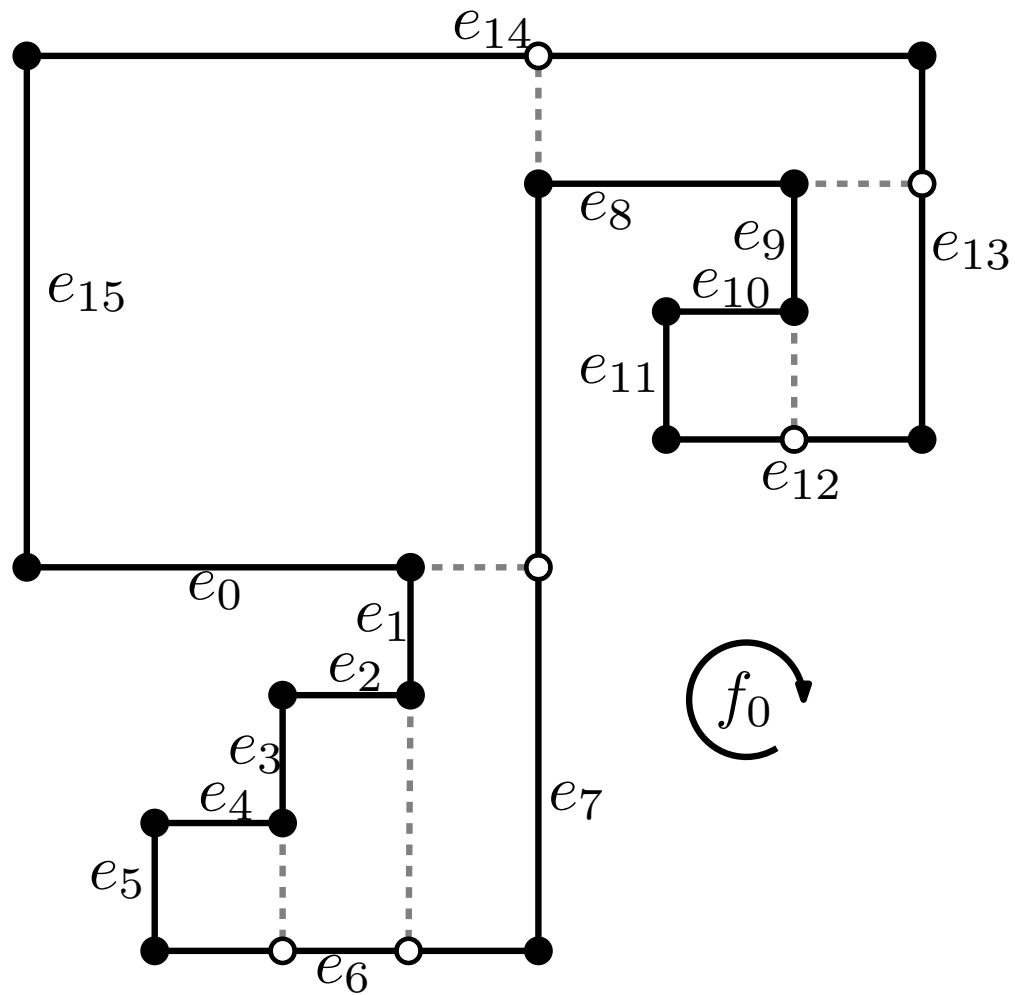
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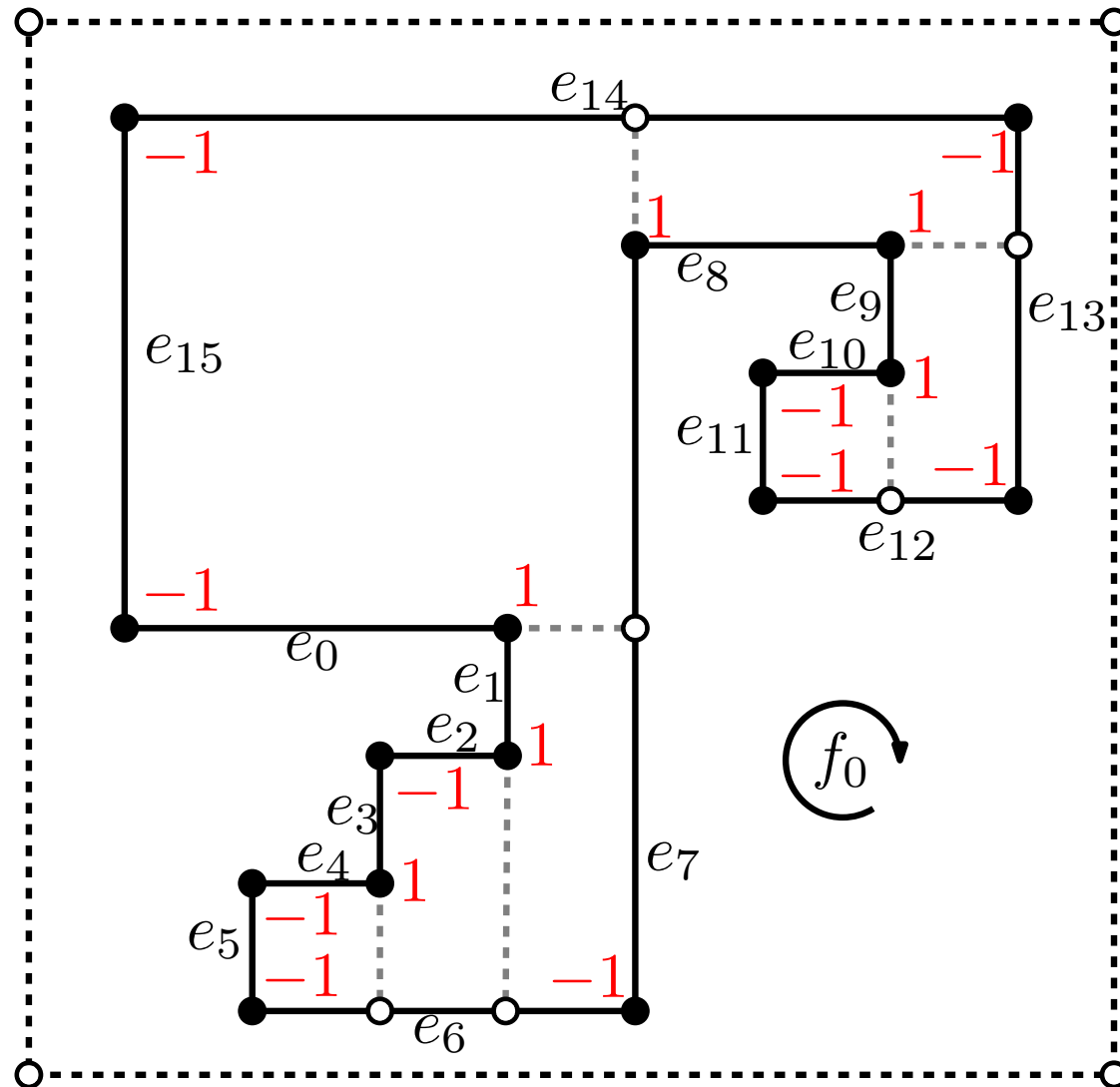


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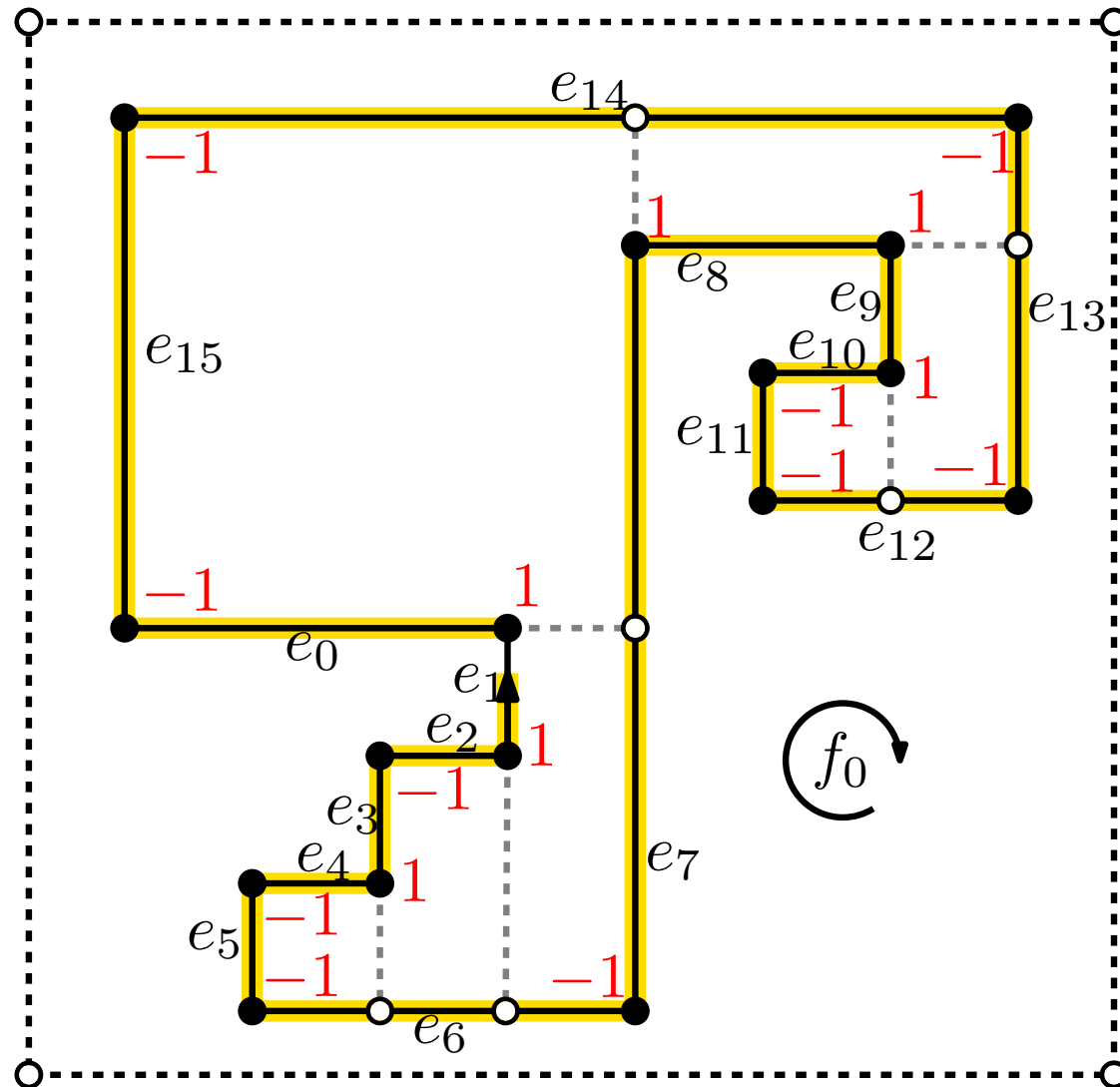
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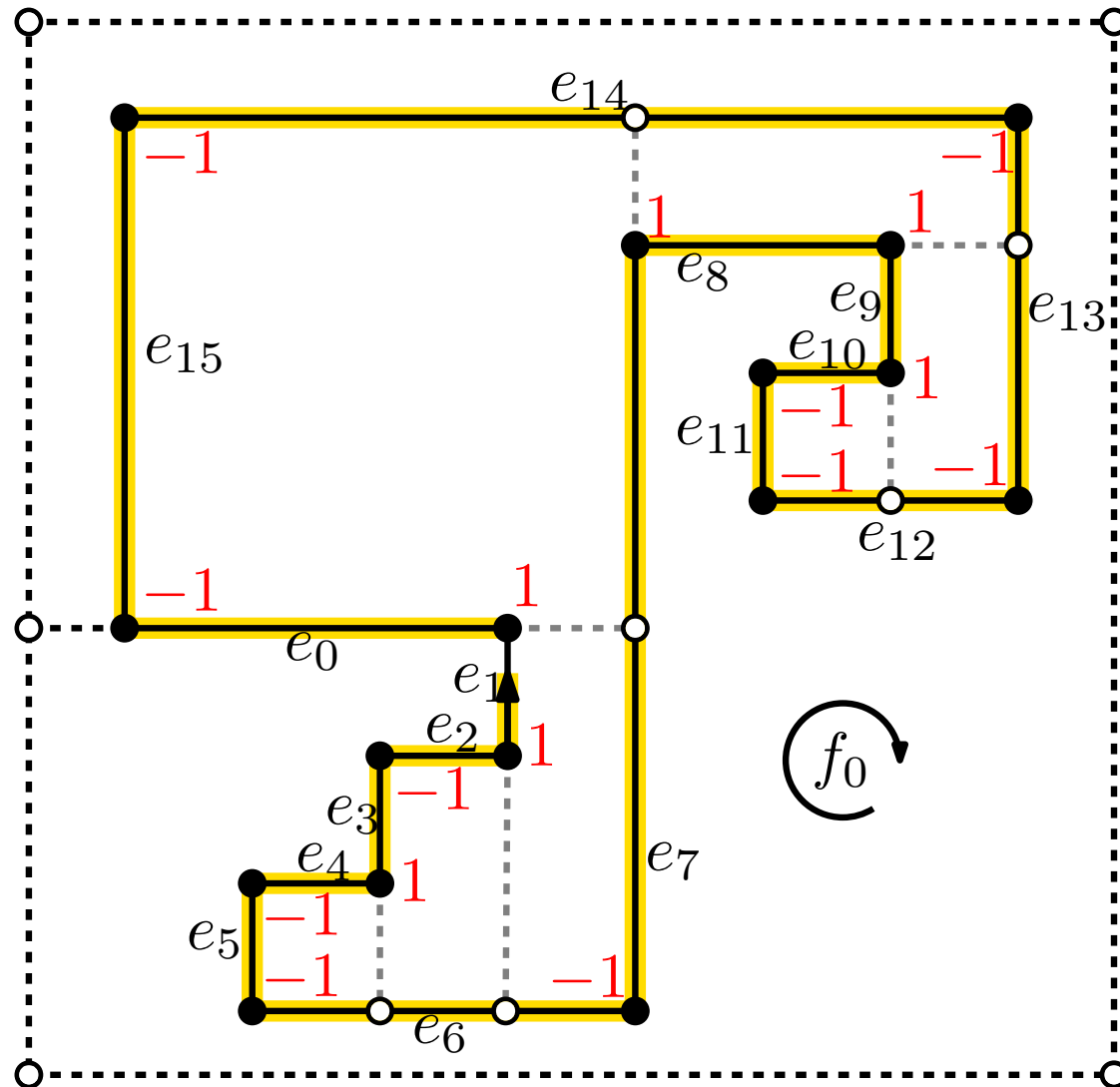
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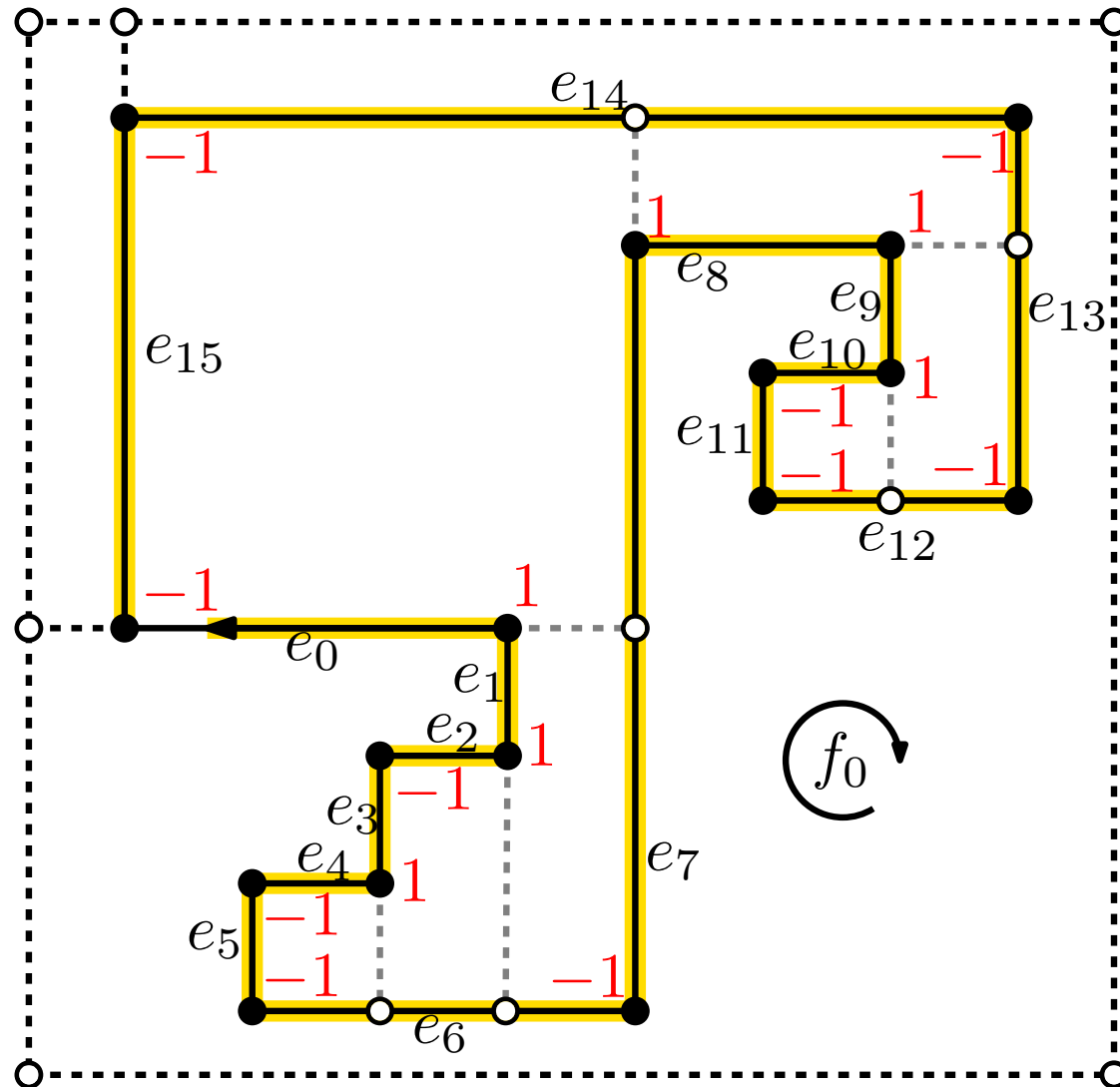
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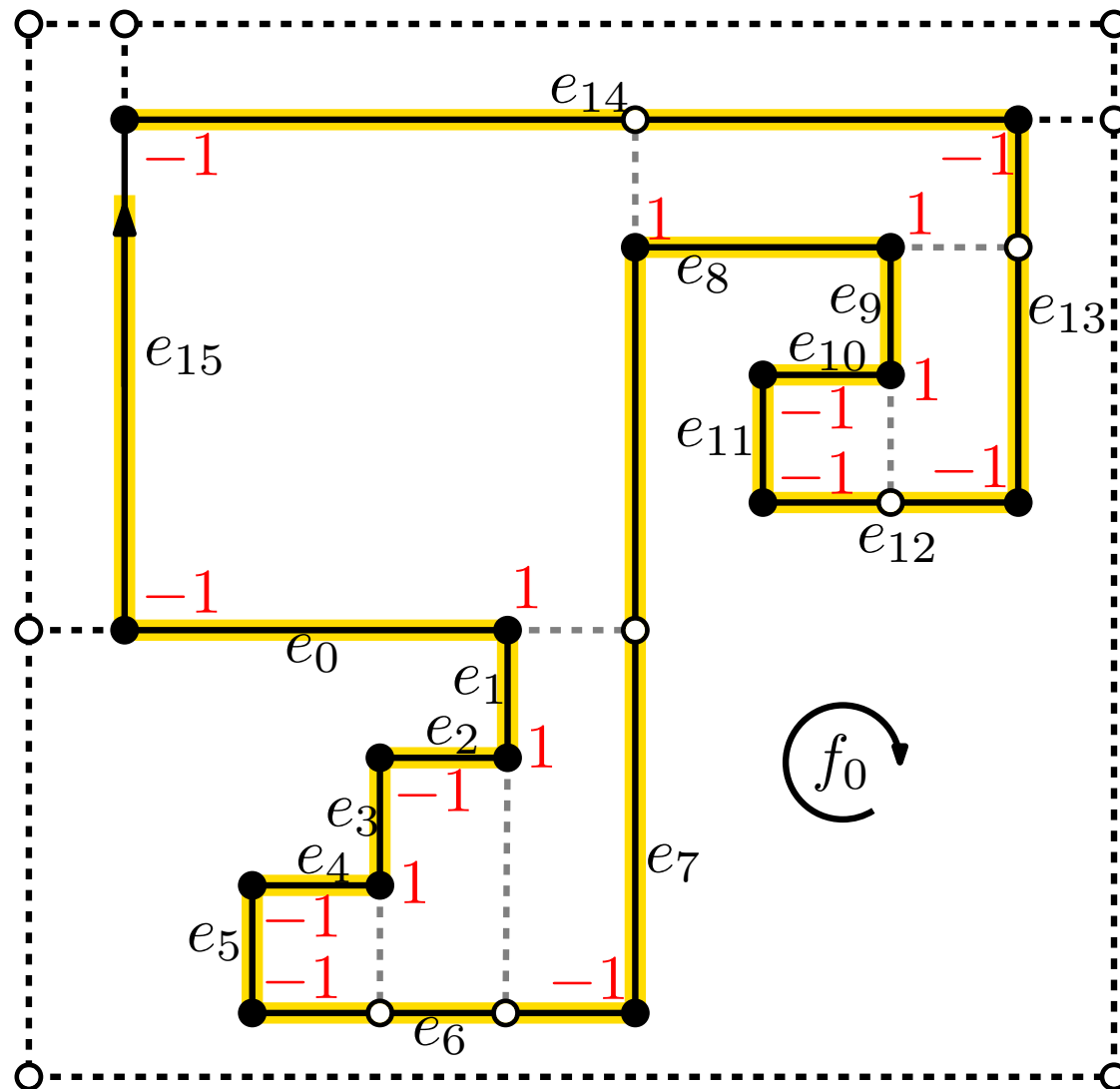


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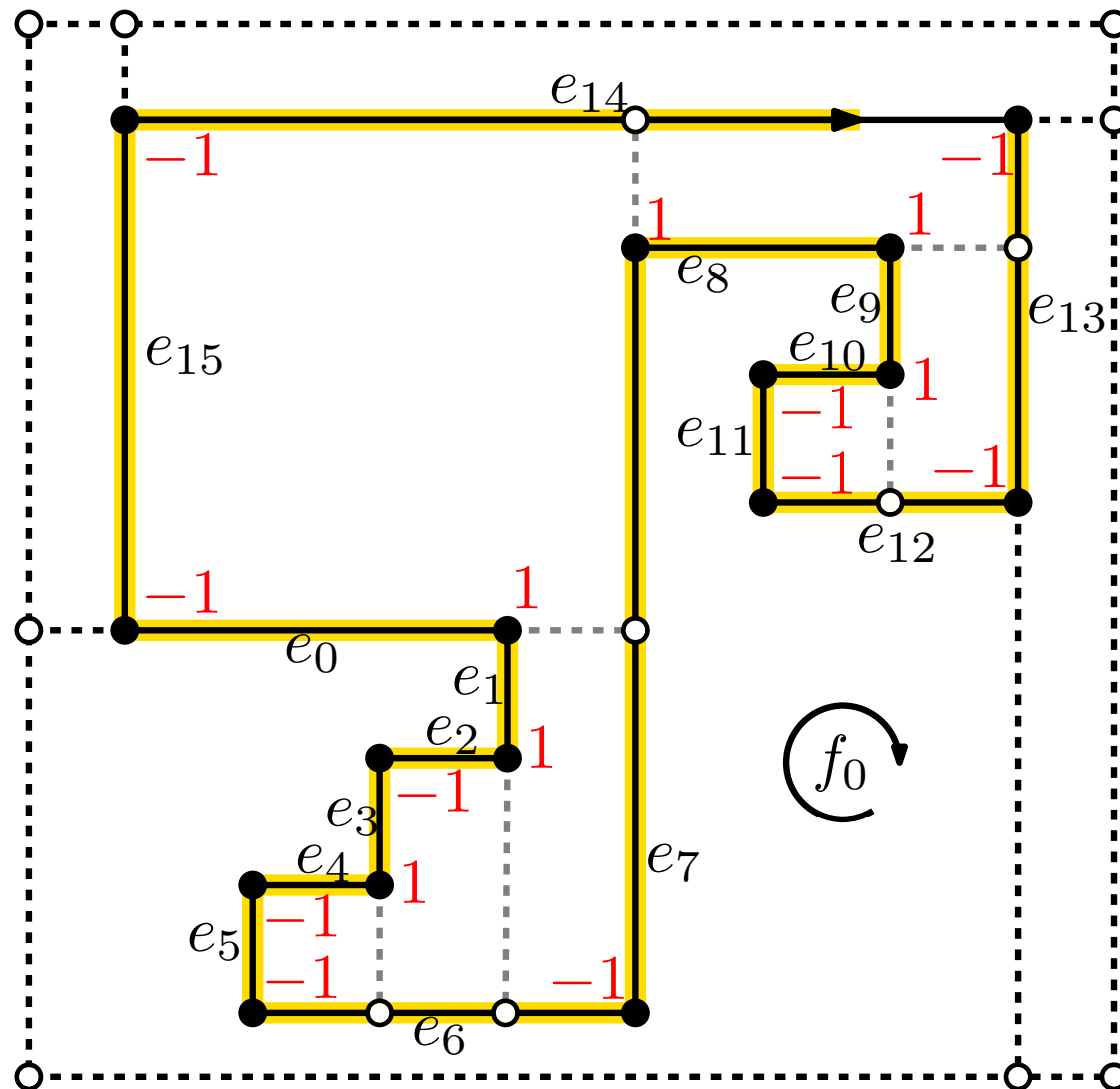
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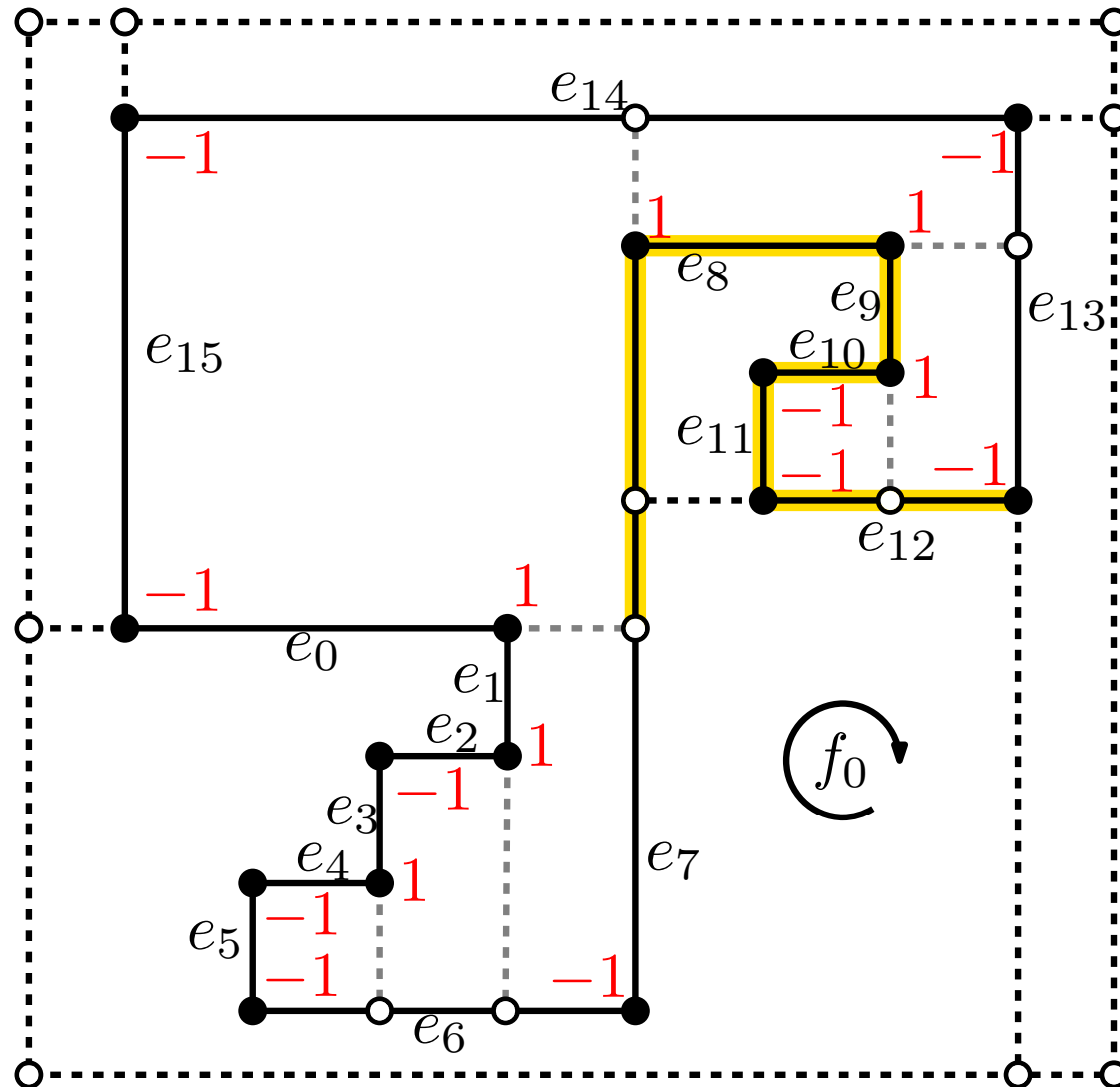
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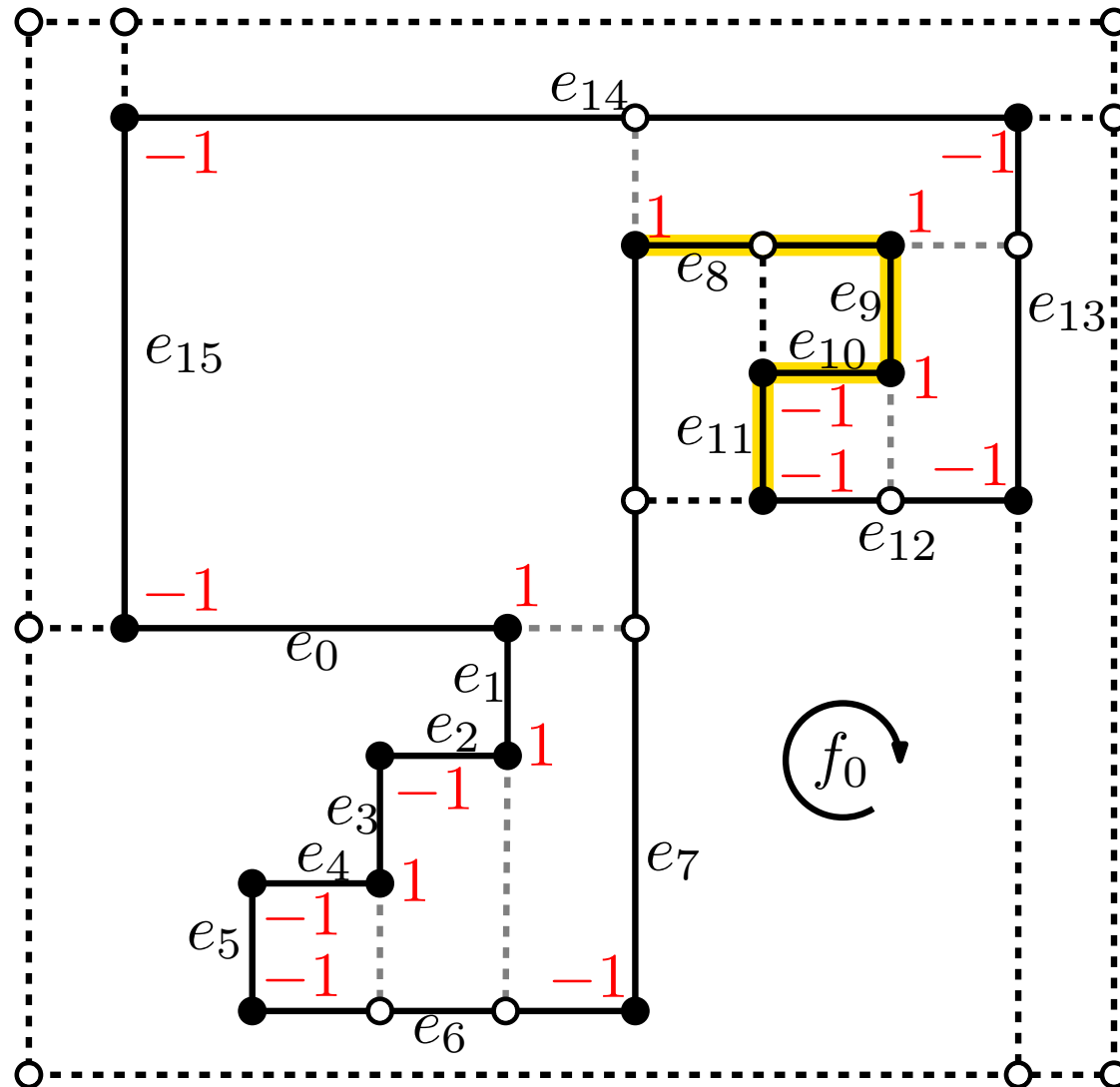
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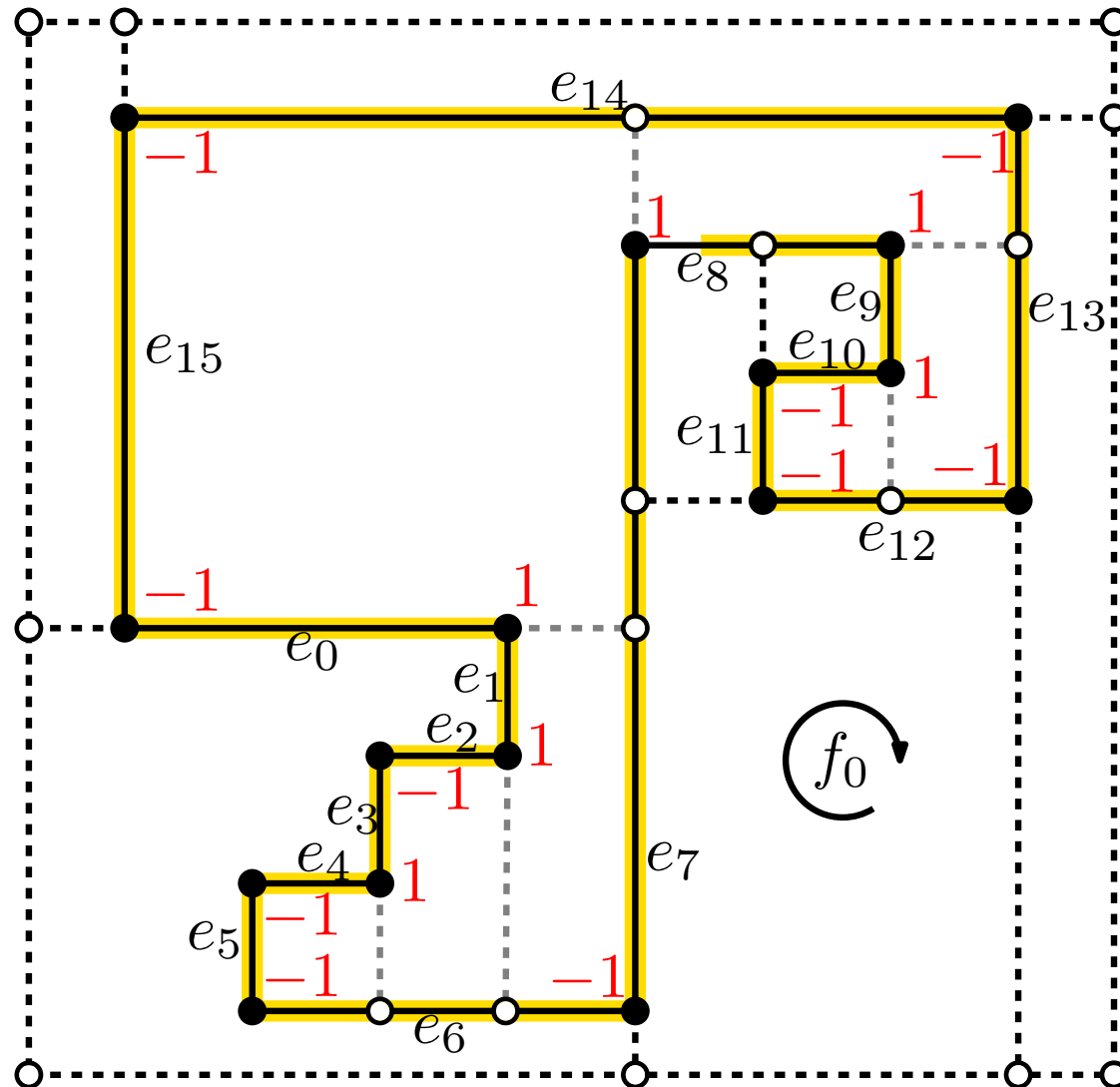
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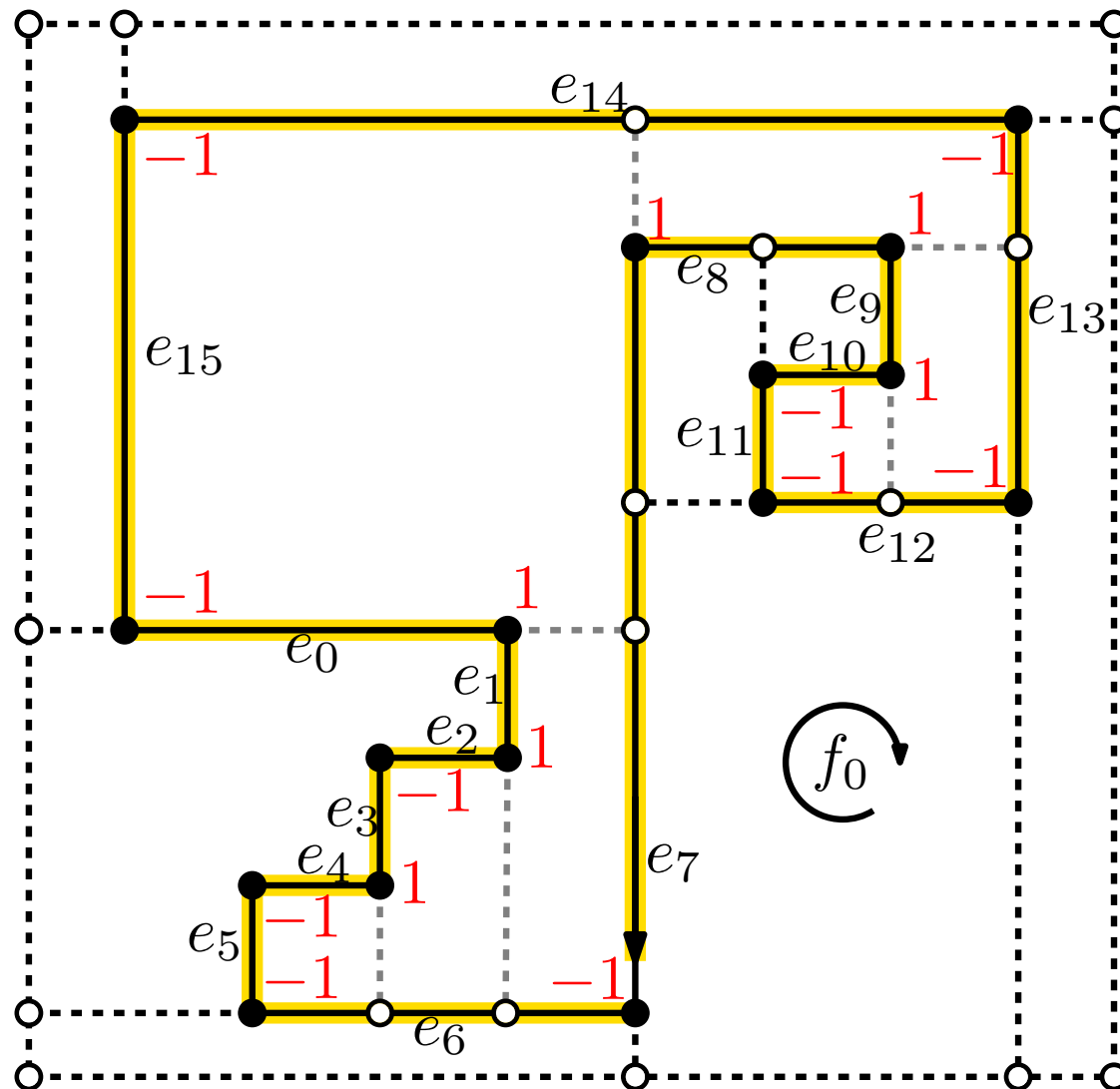
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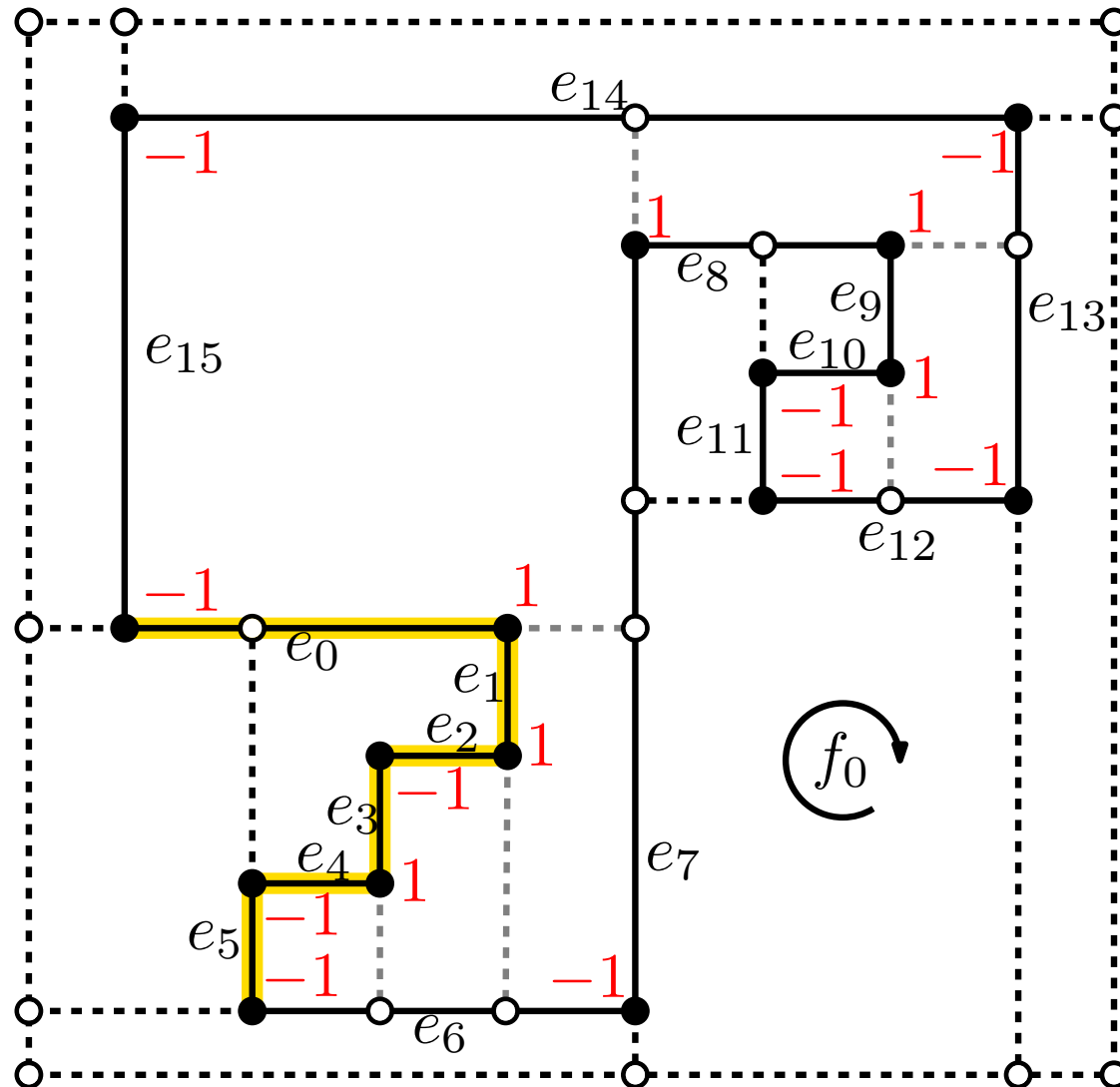
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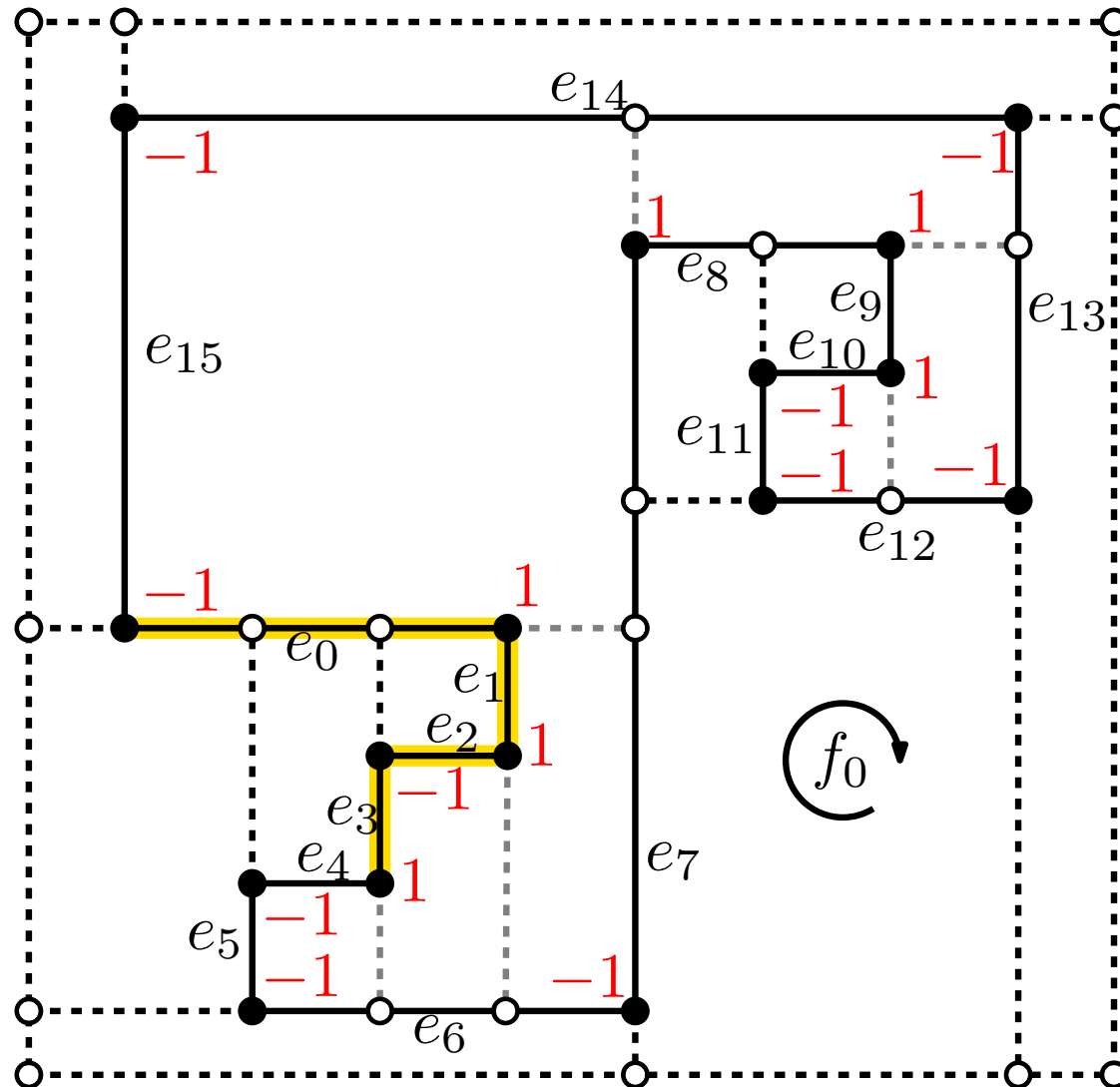
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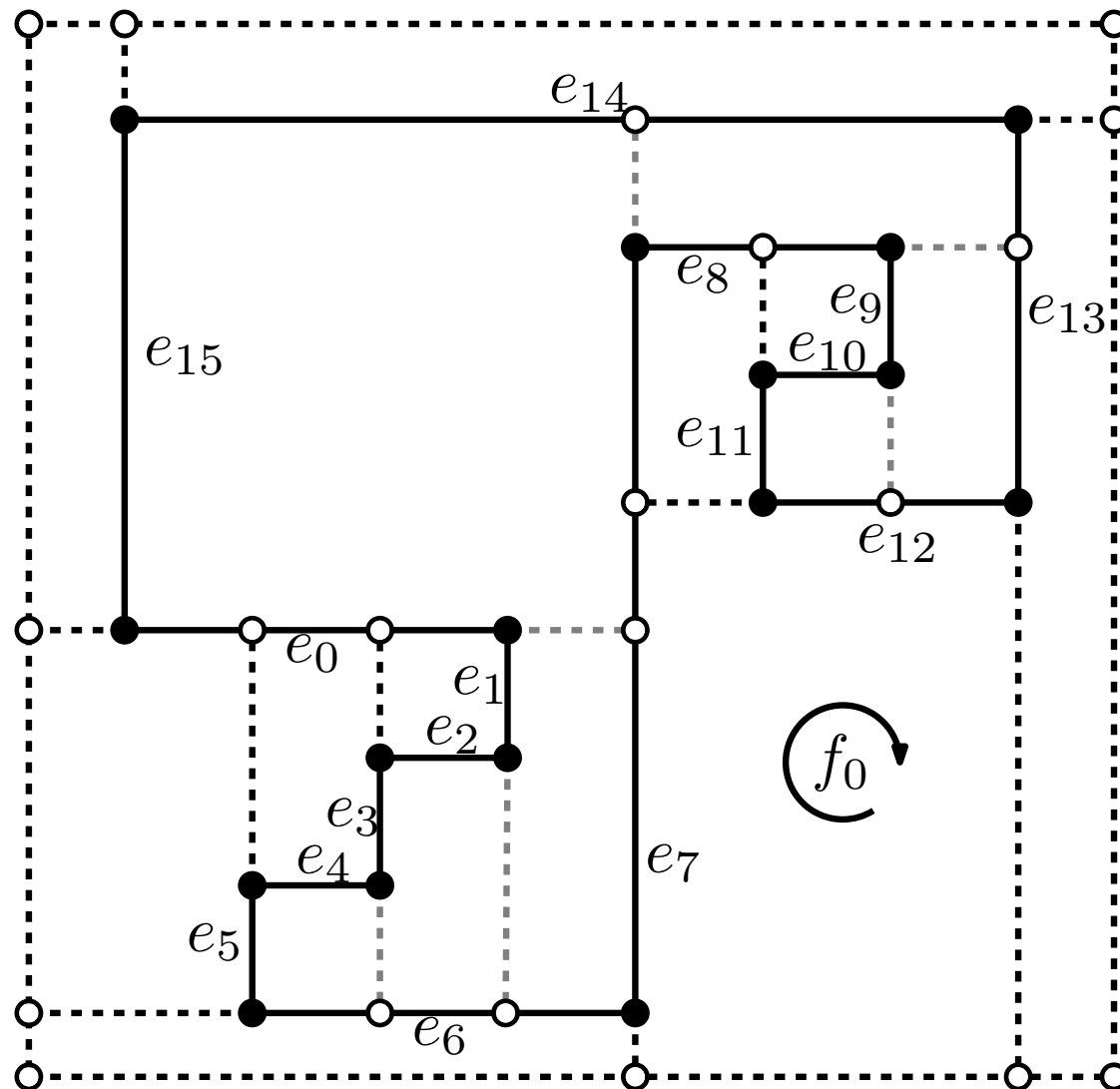


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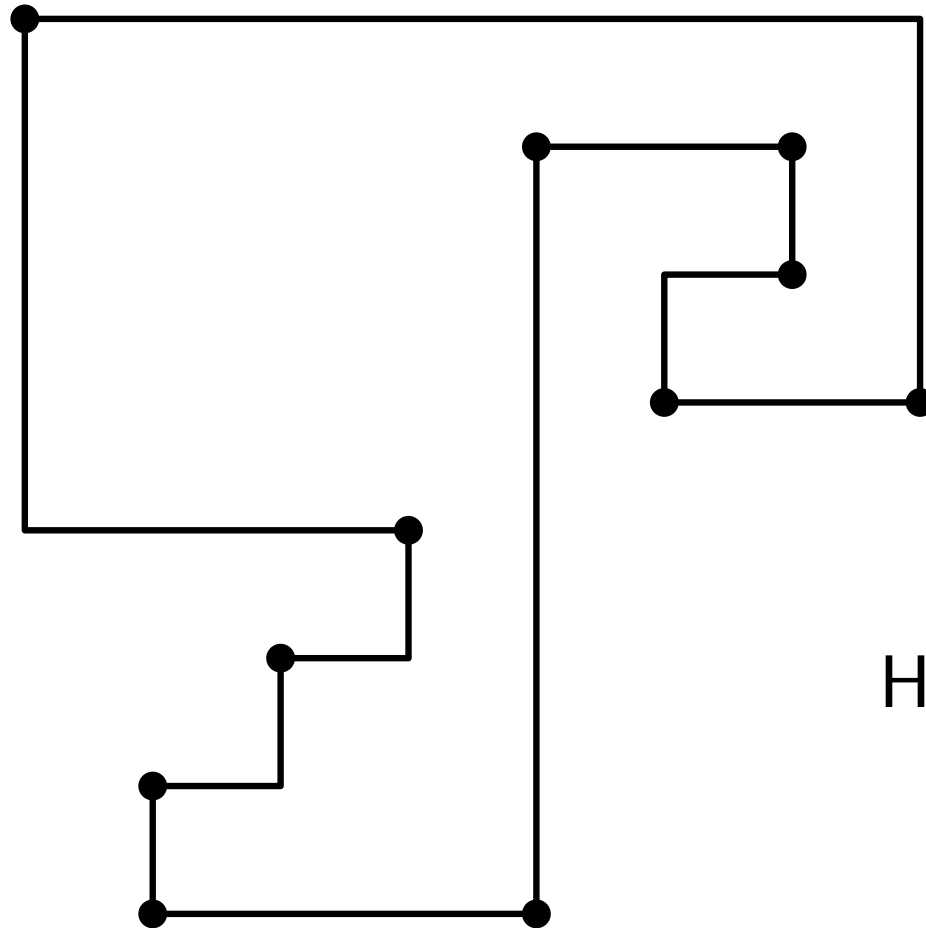
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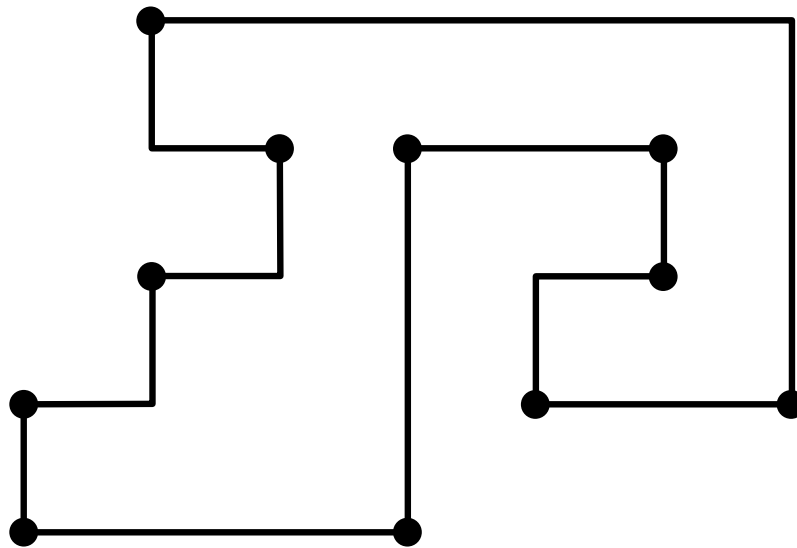
all faces are rectangles  $\rightarrow$   
apply flow network

# Refinement of $(G, H)$ – Outer Face



Has minimum area?

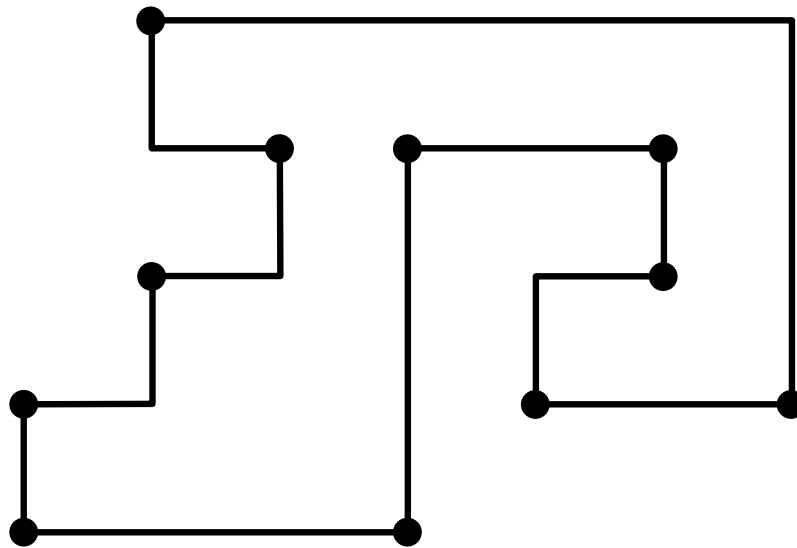
# Refinement of $(G, H)$ – Outer Face



Has minimum area?

**NO!**

# Refinement of $(G, H)$ – Outer Face



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Area Minimization with a given orthogonal representation is an NP-hard problem!

# Summary

- An orthogonal representation with minimum number of bends can be found in  $O(n^{3/2})$  time
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- Various heuristics have been implemented and experimentally evaluated wrt running time and quality [Klau, Klein, Mutzel GD 2001]
- for non-planar graphs the area minimization is hard to approximate [Bannister, Eppstein, Simons JGAA 2012]

# Upward Planar Drawings

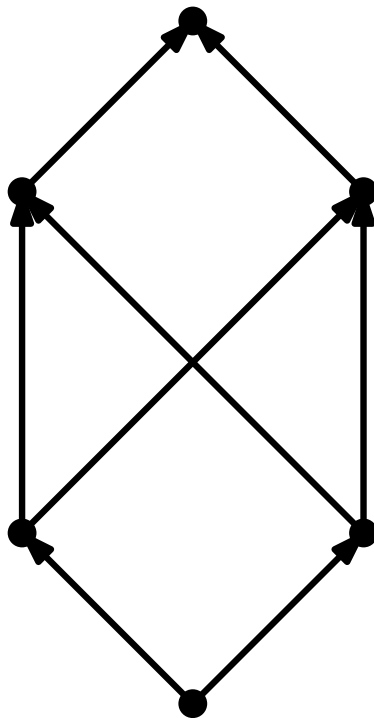
# Upward Planarity

**Def:** A directed acyclic graph  $D = (V, A)$  is called **upward planar**, when  $D$  admits a drawing (vertices points, edges simple curves), which is planar and each edge is a monotone curve increasing in  $y$ -direction.

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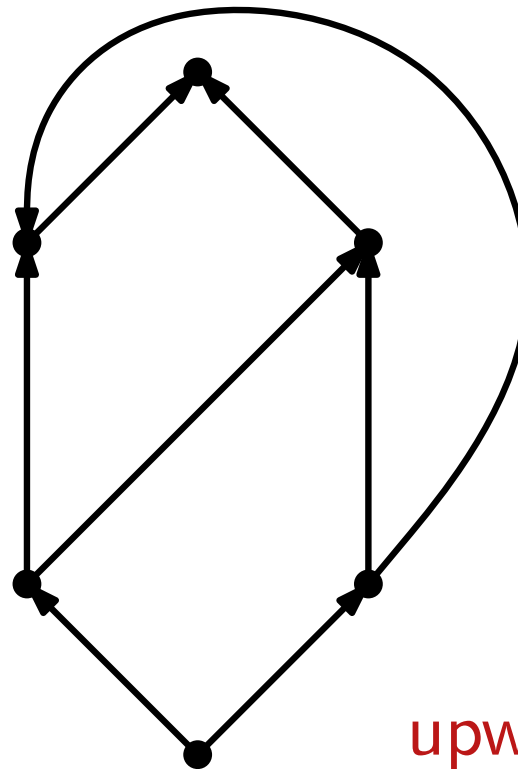
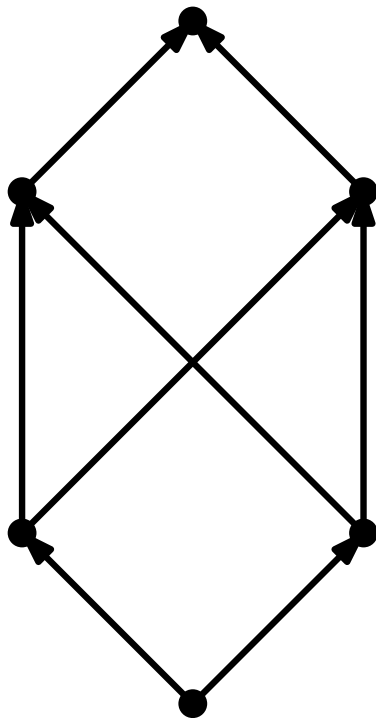
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**Example:**



planar!

upward planar? – NO!

# Complexity

**Thm 1:** For a directed acyclic graph it is NP-hard to decide whether it is upward planar.

[Garg, Tamassia GD 1995]

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## NEXT

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1.  $D$  is upward planar
2.  $D$  admits an upward planar straight-line drawing
3.  $D$  is the spanning subgraph of a planar  $st$ -digraph

[Di Battista, Tamassia TCS 1988]

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**Proof:** ■ (2)  $\Rightarrow$  (1) obvious

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- Proof:**
- $(2) \Rightarrow (1)$  obvious
  - $(1) \Leftrightarrow (3)$  simple augmentation of a layout (blackboard)

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1.  $D$  is upward planar
2.  $D$  admits an upward planar straight-line drawing
3.  $D$  is the spanning subgraph of a planar  $st$ -digraph

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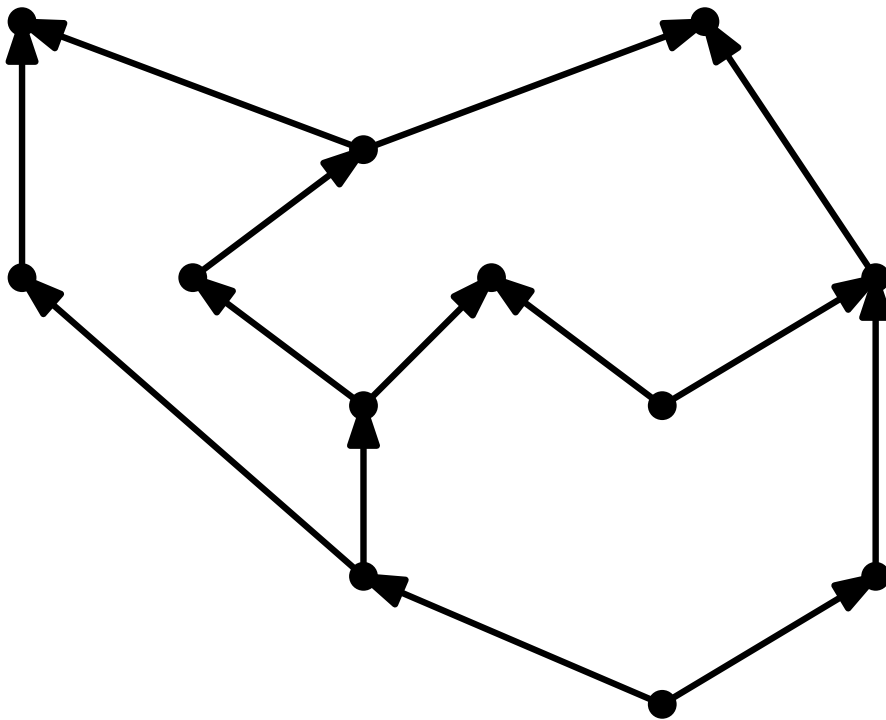
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  - May have exponential area. There are examples where this is unavoidable (see Lecture 3).

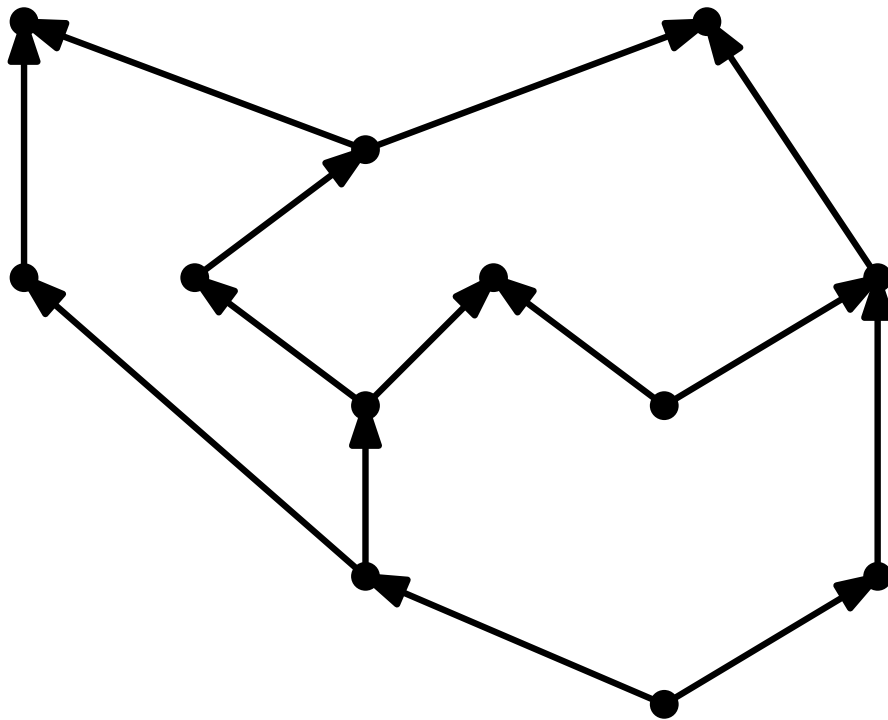
# Fixed Outer Face: Angles

**Problem:** Consider a directed acyclic graph  $D = (V, A)$  with embedding  $\mathcal{F}, f_0$ . Test whether  $D, \mathcal{F}, f_0$  is upward planar and construct corresponding drawing.



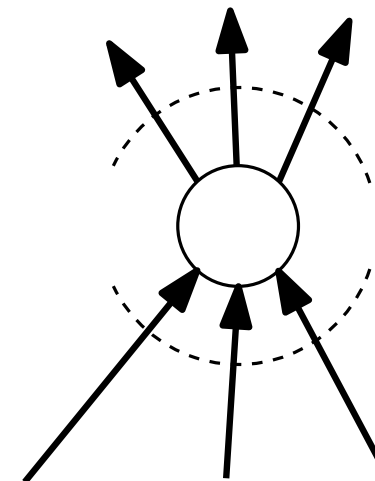
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Embedding is **bimodal**

if for each node:

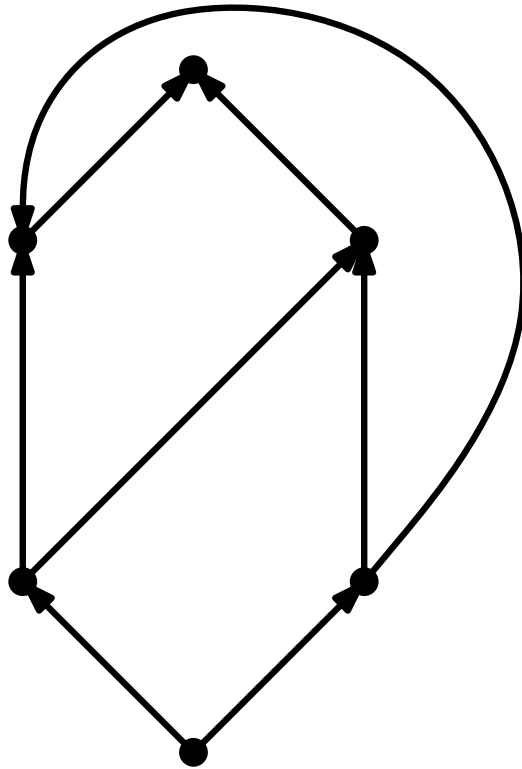


outgoing

incomming

# Fixed Outer Face: Observations

- Bimodality is necessary but not sufficient



# Fixed Outer Face: Observations

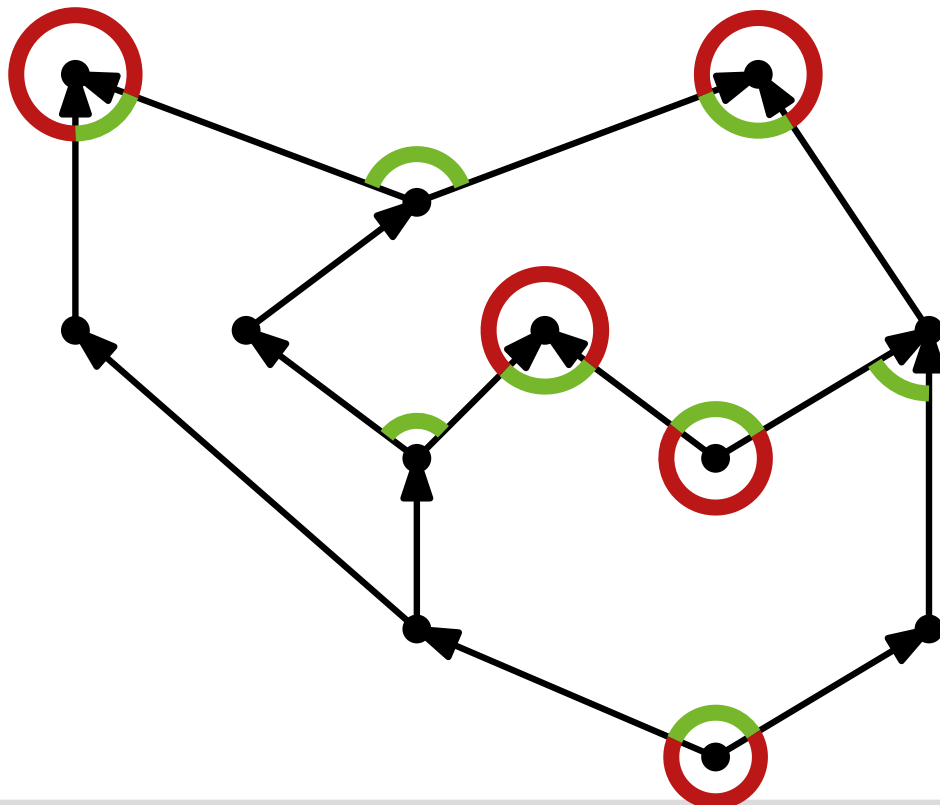
- Bimodality is necessary but not sufficient
- measure angles between two incoming/outgoing edges

Angle  $\alpha$  is **large** when  $\alpha > \pi$ , **small** otherwise

$L(v) := \#$  large angles at node  $v$

$L(f) := \#$  large angles in face  $f$

$S(v)$  resp.  $S(f)$ :  $\#$  **small** angles



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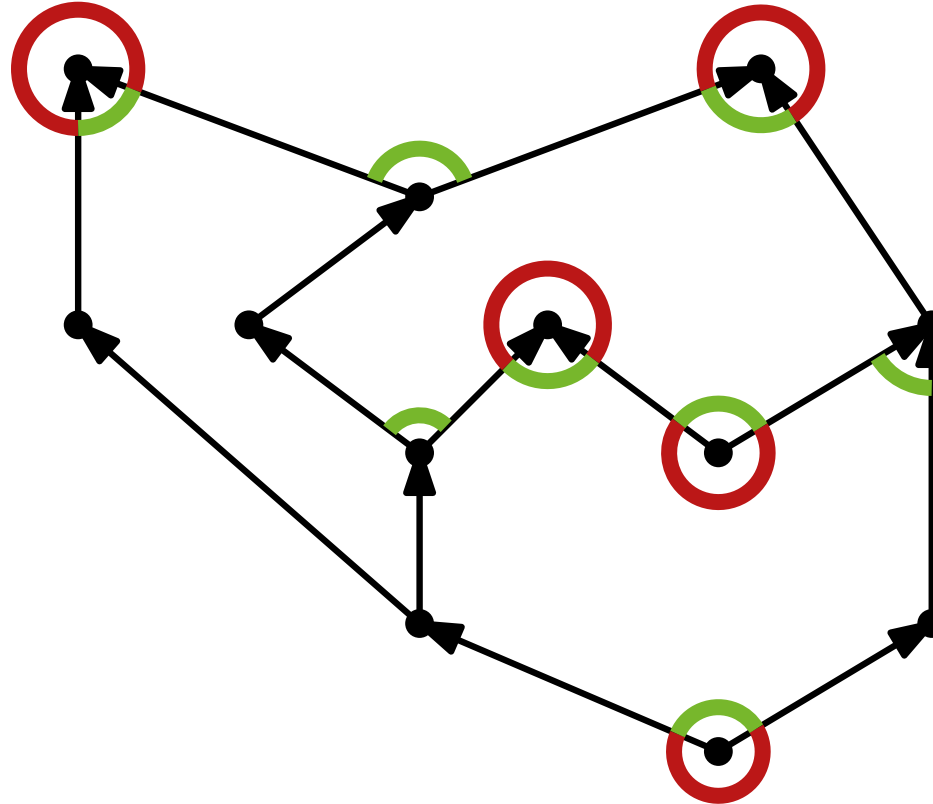
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**Lemma 1:** In any upward layout of  $D$  holds:

$$(1) \forall v \in V : L(v) = \begin{cases} 0 & v \text{ non source/sink} \\ 1 & v \text{ source/sink} \end{cases}$$

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- $A(f) := \#$  sources in face  $f$  (equal to the number of sinks)

It holds that:  $L(f) + S(f) = 2A(f)$  for all faces.

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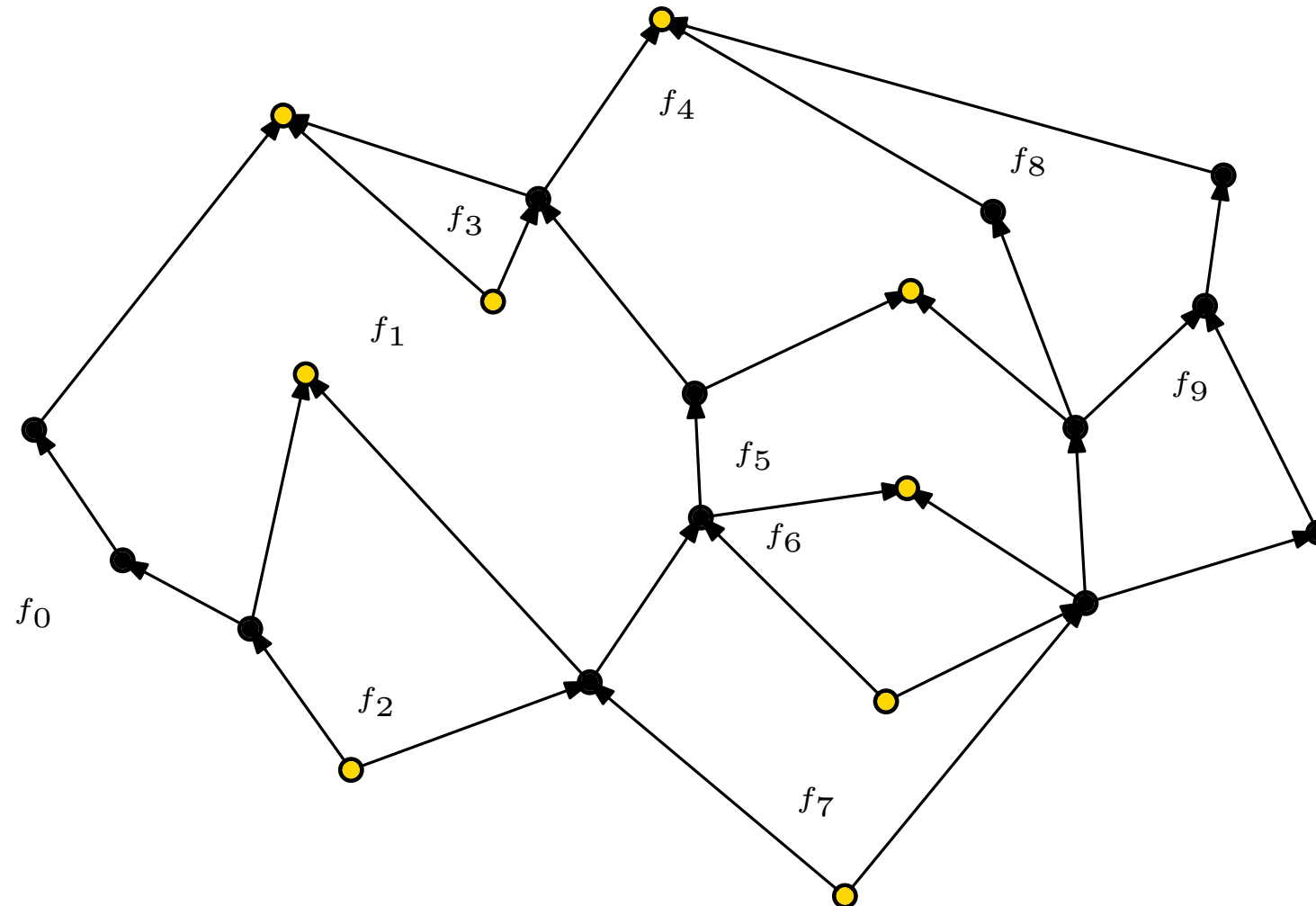
- Define assignement  $\Phi : S \cup T \rightarrow \mathcal{F}$

( $S$  set of sources,  $T$  sinks), where

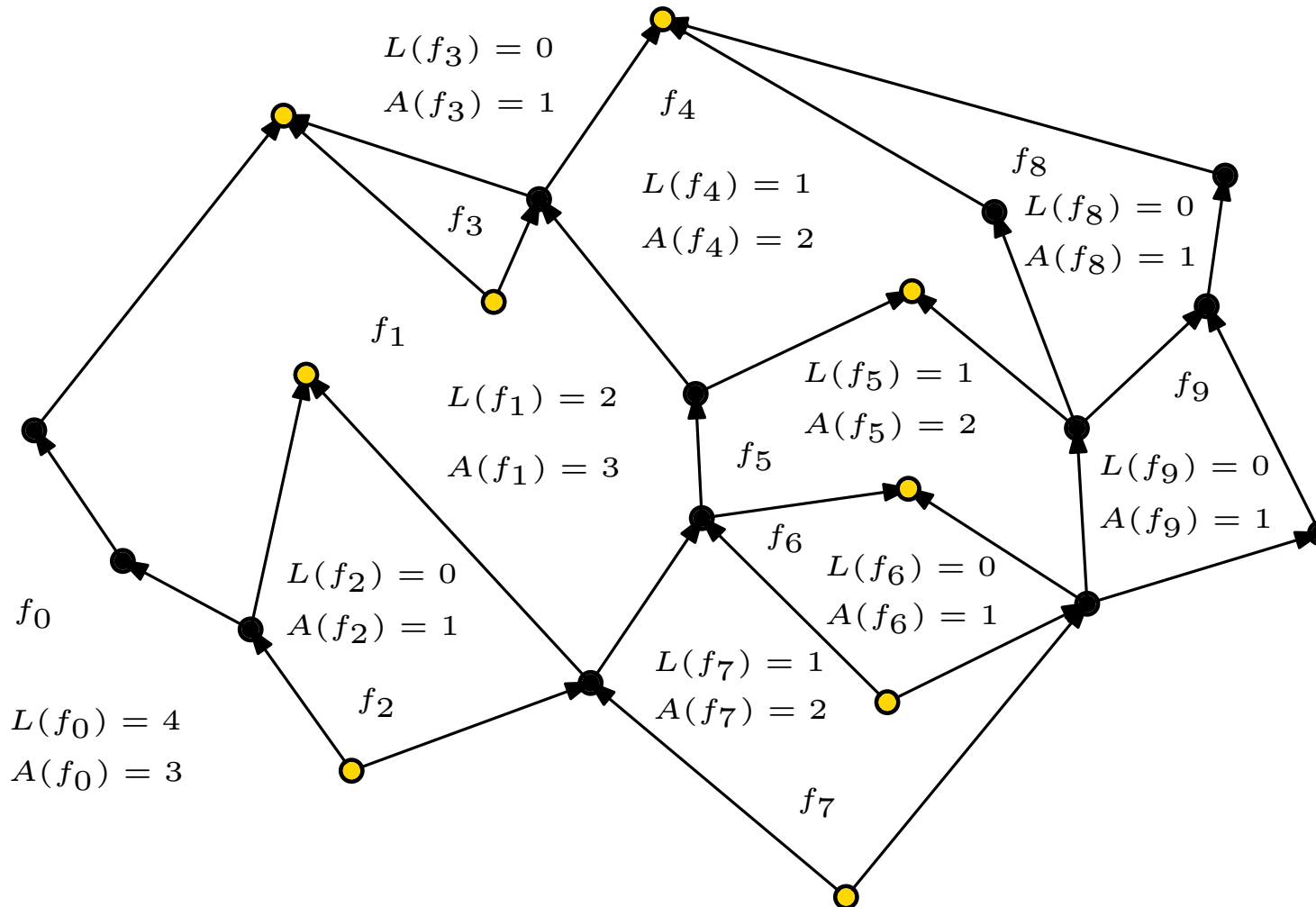
$\Phi : v \mapsto$  incident face, where  $v$  is forms large angle

- $\Phi$  is called **consistent**, if:  $|\Phi^{-1}(f)| = \begin{cases} A(f) - 1 & f \neq f_0 \\ A(f) + 1 & f = f_0 \end{cases}$

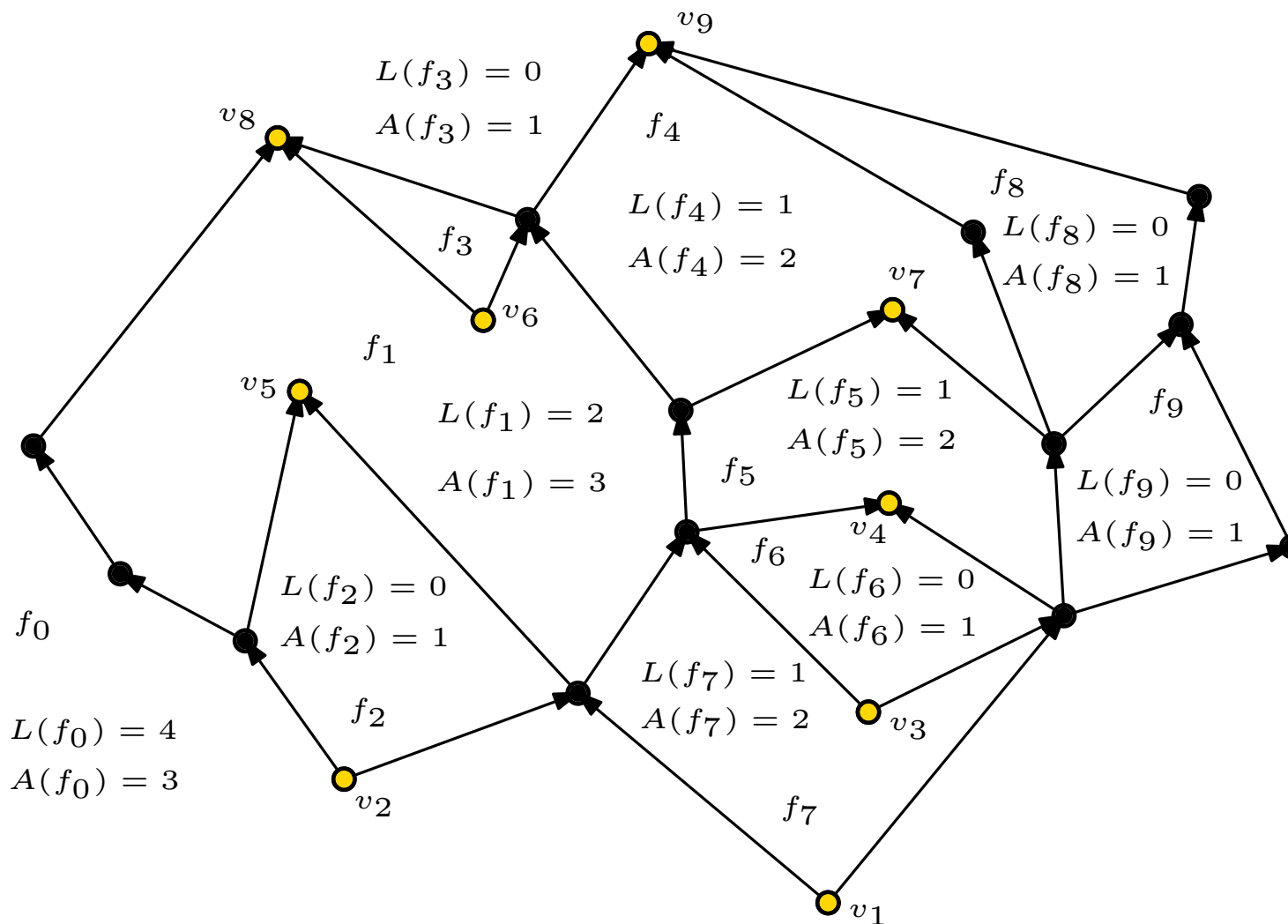
# Example: Vertex-Face Assignment



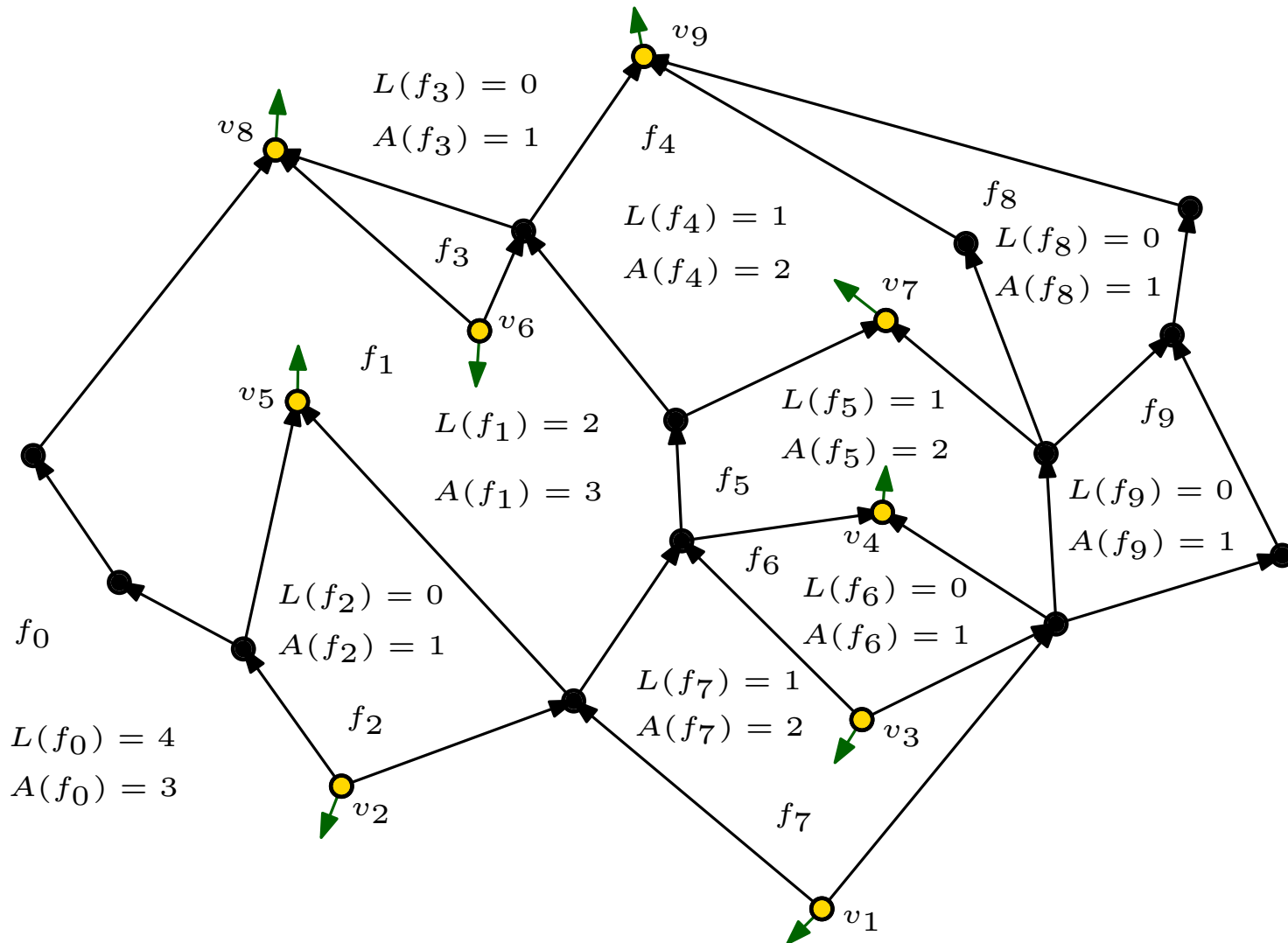
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# Example: Vertex-Face Assignment



- $\Phi(v_1) = f_0$
- $\Phi(v_2) = f_0$
- $\Phi(v_3) = f_7$
- $\Phi(v_4) = f_5$
- $\Phi(v_5) = f_1$
- $\Phi(v_6) = f_1$
- $\Phi(v_7) = f_4$
- $\Phi(v_8) = f_0$
- $\Phi(v_9) = f_0$

**Thm 5:** For a directed acyclic graph  $D = (V, A)$  with combinatorial embedding  $\mathcal{F}, f_0$  it holds:

$D$  is upward planar  $\Leftrightarrow D$  bimodal and  $\exists$  consistent  $\Phi$

# Characterization

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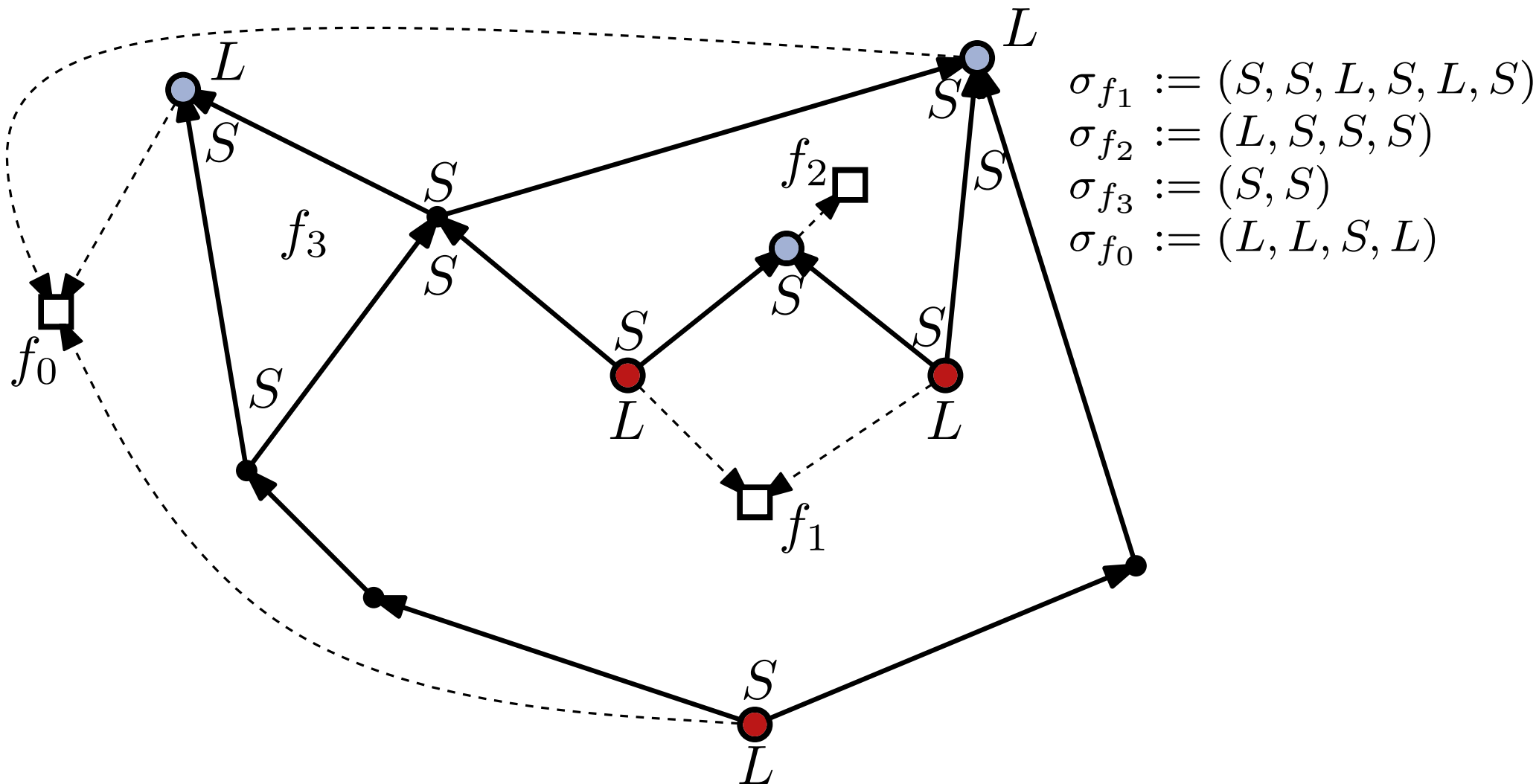
$\Leftarrow$  construct an  $st$ -digraph that contains  $D$  as spanning subgraph:

- insert edges in faces until they have single source and sink
- proof acyclicity, planarity and bimodality



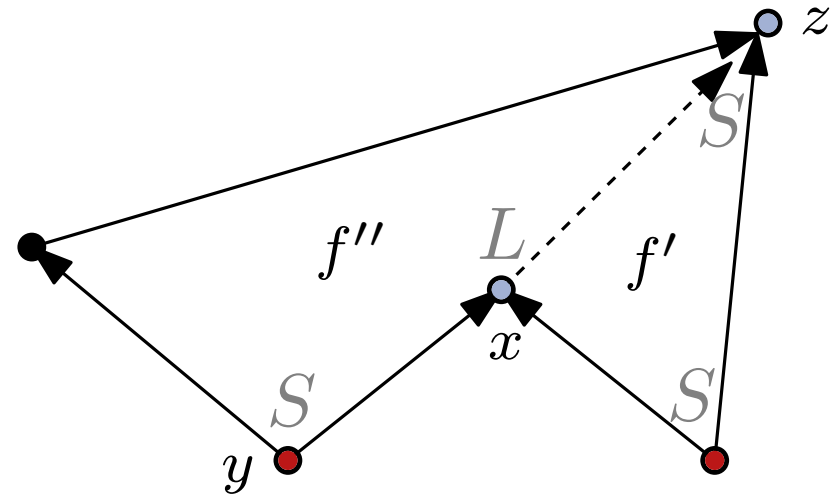
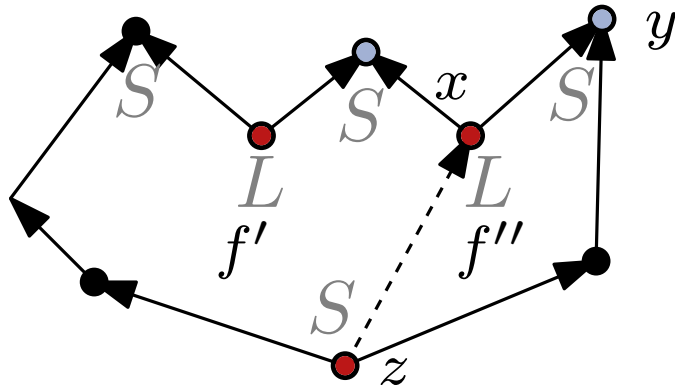
# Proof of Theorem 5

Assign labels  $s_L, t_L, s_S, t_S$  to each source/sink of each face  $f$ .  
Sequence  $\sigma_f$ .



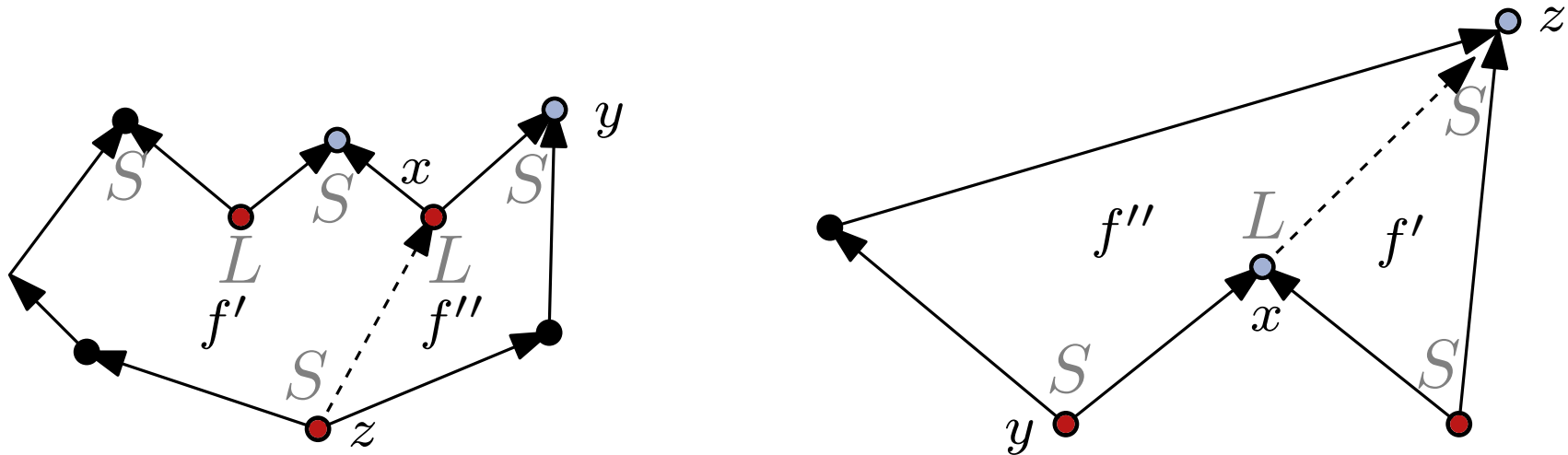
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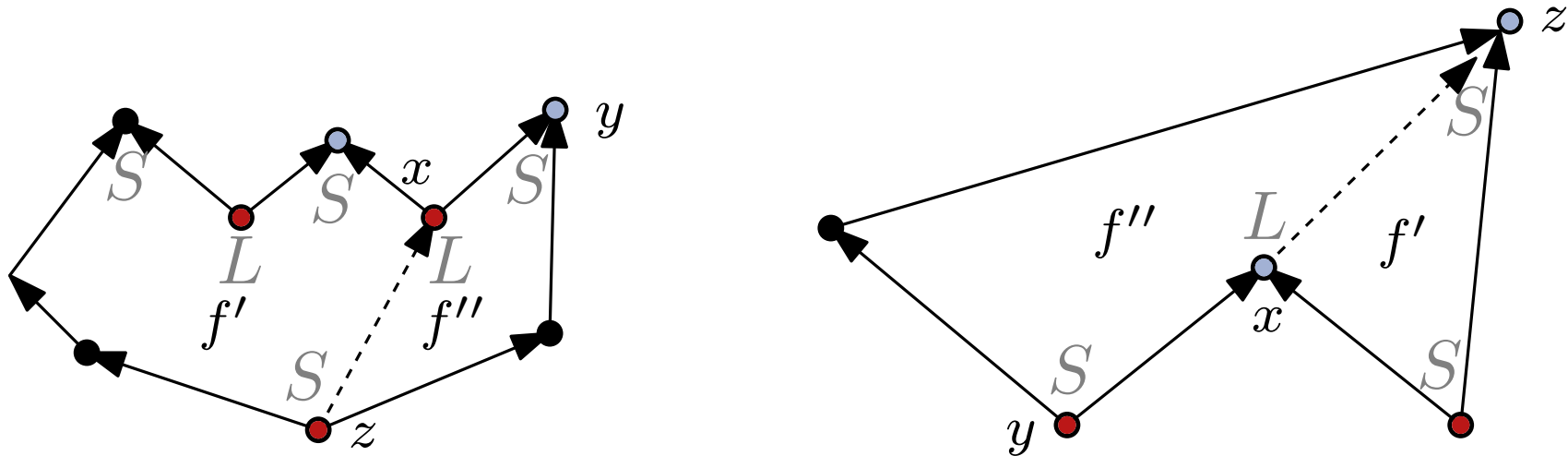
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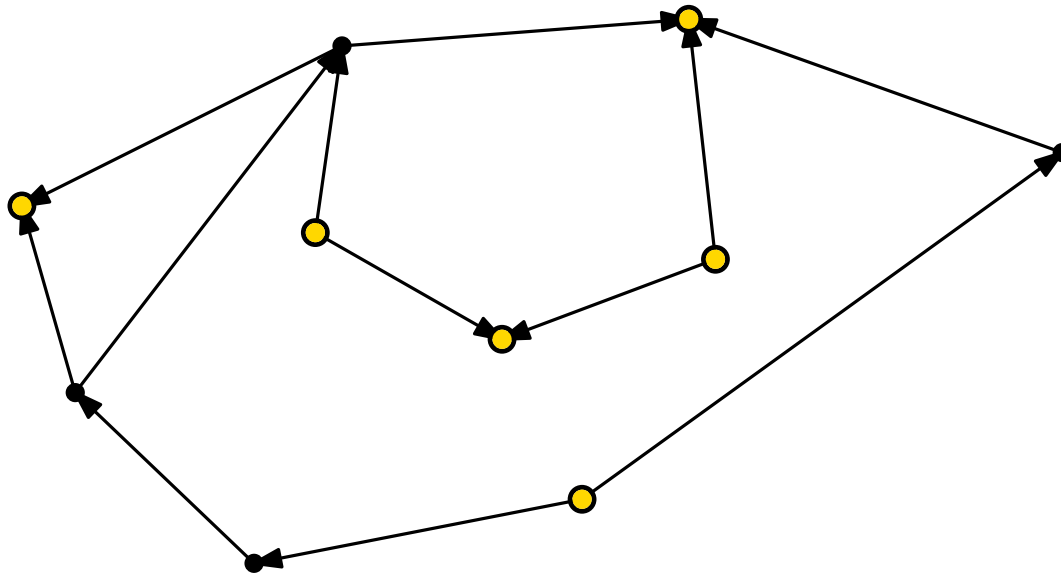
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How to check whether a consistent assignment exists?

**Def:** Flow network  $N(D, \mathcal{F}, f_0) = ((W, A_N); \ell; u; b)$

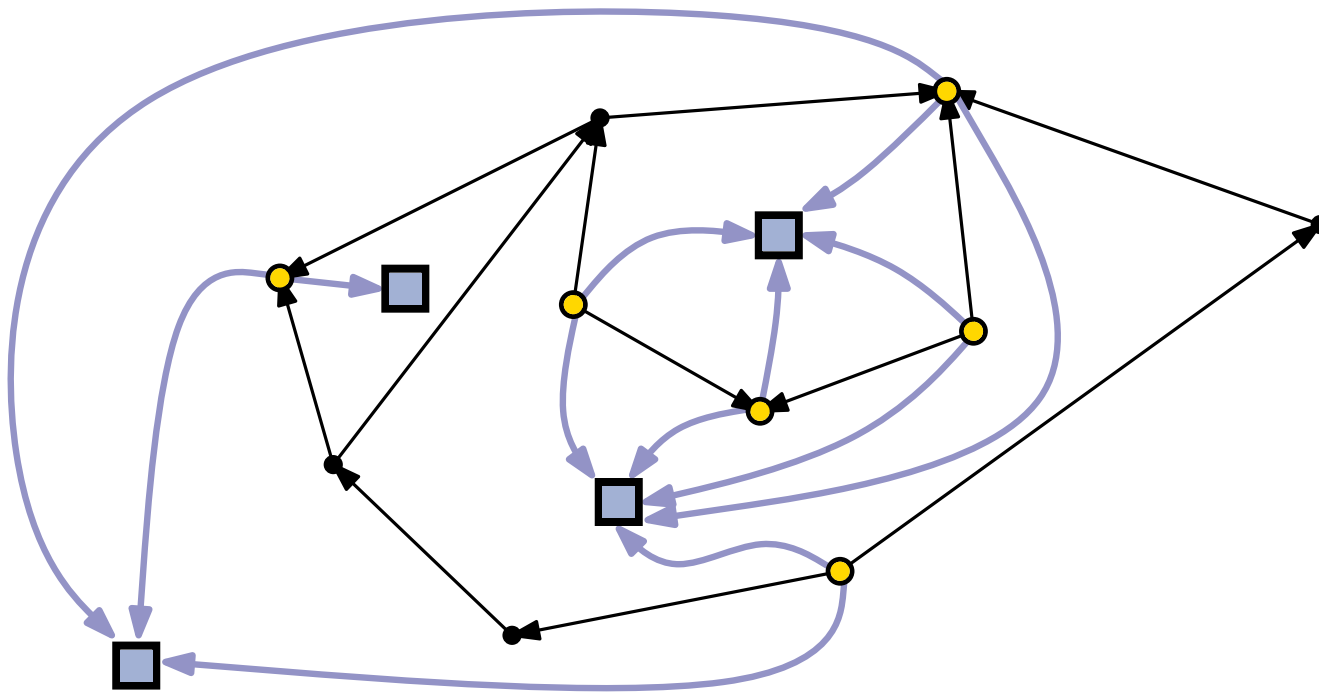
- $W = \{v \in V \mid v \text{ is source or sink}\} \cup \mathcal{F}$
- $A_N = \{(v, f) \mid v \text{ incident to } f\}$
- $\ell(a) = 0 \quad \forall a \in A_N$
- $u(a) = 1 \quad \forall a \in A_N$
- $b(q) = \begin{cases} 1 & \forall q \in W \cap V \\ -(A(q) - 1) & \forall q \in \mathcal{F} \setminus \{f_0\} \\ -(A(q) + 1) & q = f_0 \end{cases}$

# Example



- normal nodes
- sources/sinks

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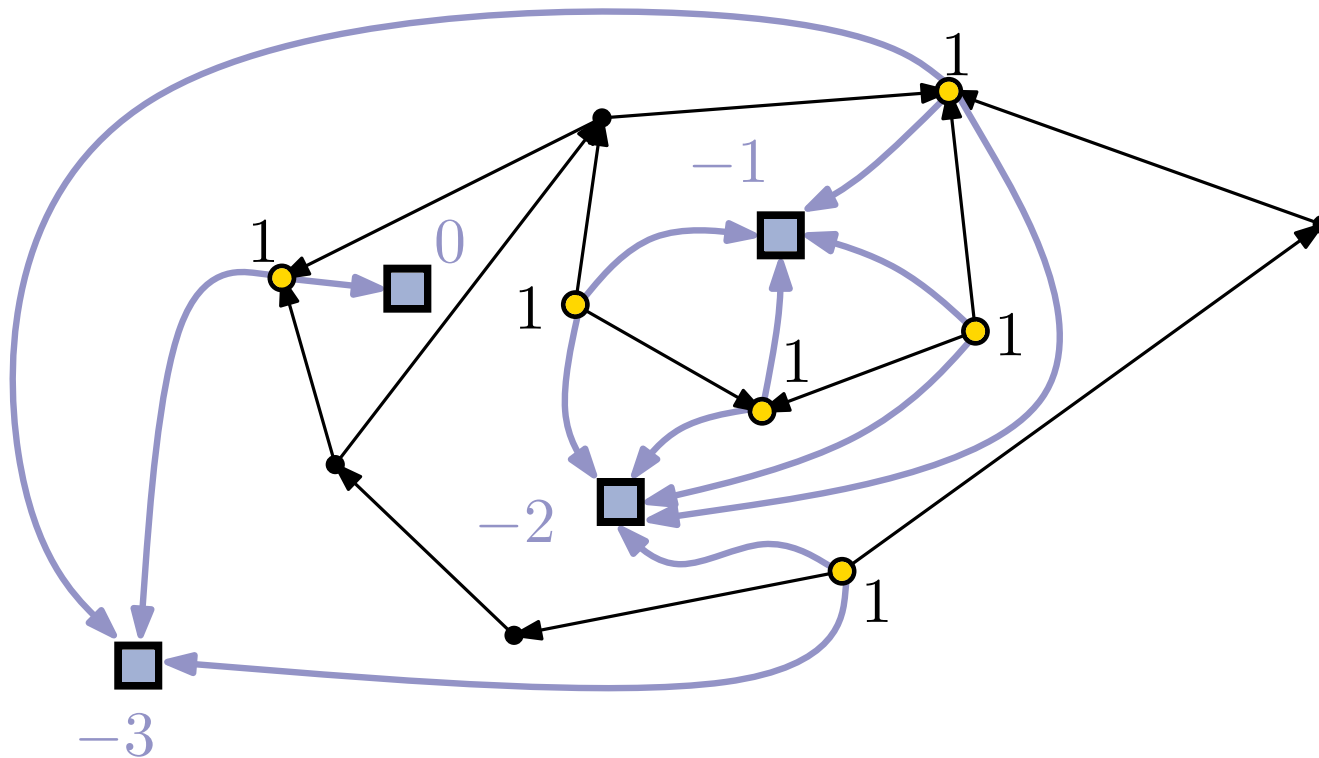


- normal nodes
- sources/sinks
- face nodes





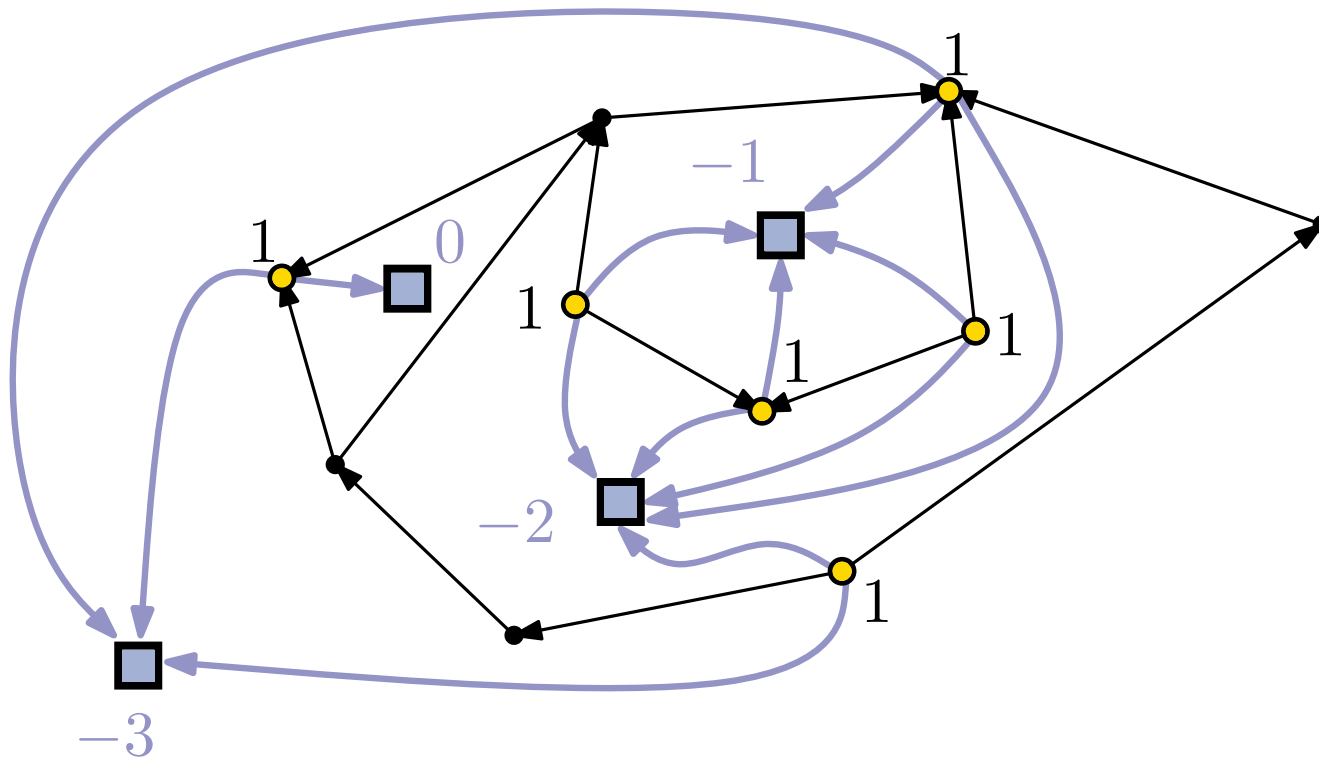
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**Thm 6:** Let  $G$  be a directed acyclic digraph with embedding  $F$  and outer face  $f_0$ . Bipartite flow network  $N(D, \mathcal{F}, f_0)$  admits a valid flow of value  $r$  ( $\#$  of sources/sinks) iff  $G$  has a consistent assignment of sources and sinks to faces.

# Example



- normal nodes
- sources/sinks
- face nodes

- start with zero flow
- search for augmenting path ( $r$  times for total of  $r$  sources and sinks)

# Final Remarks

- $O(rn)$  to decide whether consistent assignment exists
- works also without fixed outer face  $f_0$ : first compute all faces as internal and then add two units of demand to a face vertex and test whether the total flow can be augmented by two units. Do it for every face.

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The layout can be constructed in the same time:  $O(n)$  to augment to  $st$ -digraphs and  $O(n)$  to draw the  $st$ -digraph

# Discussion



- There exists a fixed parameter tractable algorithm to test upward planarity, with parameter number of triconnected components

[Healy, Lynch SOFSEM 2005]

- The decision of Theorem 2 can be done in  $O(n + r^{1.5})$  time where  $r = \#$  sources/sinks

[Abbasi, Healy, Rextin IPL 2010]

- many related concepts have been studied recently: quasi-planarity, upward drawings of mixed graphs

